# A *p*-adic analytic family of the *D*-th Shintani lifting for a Coleman family and congruences between the central *L*-values

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## Abstract

We will construct a p-adic analytic family of D-th Shintani lifting generalized by Kojima and Tokuno for a Coleman family. Consequently, we will have a p-adic L-function which interpolates the central L-values attached to a Coleman family and obtain a congruence between the central L-values. Focusing on the case of p-ordinary, we will obtain two applications. One of them states that a congruence between Hecke eigenforms of different weights sufficiently close, p-adically, derives a congruence between their central L-values. The other one is about the Goldfeld conjecture in analytic number theory. We will show that there exists a primitive form satisfying the conjecture for each even weight sufficiently close to 2, 3-adically, thanks to a result of Vatsal.

*Keywords:* modular form, central *L*-value, *p*-adic *L*-function, Coleman family, Shintani lifting, modular symbol 2010 MSC: 11F33, 11F37, 11F27,

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#### 1. Introduction

Hida is apparently the first to establish a theory of p-adic interpolation of modular forms of half-integral weight in [10]. He constructed A-adic cusp forms of half-integral weight for  $SL(2)/\mathbb{Q}$  and proved a p-adic interpolation of Waldspurger's formula ([32, Corollary 2]) by using the Shimura correspondence. His result is essentially generalized by Ramsey to the case of finite slope in [25]. The results of Ramsey are not constrained to the setting individual families but apply more broadly to the eigencurve. On the other hand, after Hida's work in [10], Stevens established p-adic interpolation of the classical Shintani lifting for a Hida family ([29]). His result is essentially generalized by Park to the case of finite slope in [23]. However, their two results on the classical Shintani lifting leave some room for improvement since the error term of p-adic interpolation is not necessarily a p-adic unit (see Remark 5.8). The significant problem for p-adic interpolation is to deal with the error term of interpolation. To see this, let f be a function whose values at integer points are algebraic integers

and F a p-adic analytic function that has the interpolation property for any k in a neighborhood in the domain of F,  $F(k) = e_k f(k)$  with some error term  $e_k \neq 0$ . Assume that the values of f and  $e_k$  are contained in the p-adic integer ring  $\mathbb{Z}_p$  for each k in some neighborhood B for simplicity. This implies that for  $k, k' \in B$ , we have  $e_k f(k) \equiv e_{k'} f(k') \pmod{p}$ . The problem is that the obtained congruence may be trivial if both  $e_k$  and  $e_{k'}$ 

<sup>15</sup> have  $e_k f(k) = e_k f(k)$  (mod p). The problem is that the obtained congruence may be trivial if both  $e_k$  and  $e_{k'}$ are not *p*-adic units. In [16], Kohnen and Zagier proved an explicit Waldspurger's formula by using the *D*-th Shintani lifting for a fundamental discriminant *D*. We remark that the *D*-th Shintani lifting coincides with the classical Shintani lifting when D = 1 at least for the full modular case ([16, Corollary 8]). The main purpose of this paper is to present an improvement of Park's construction of a *p*-adic family of the classical Shintani lifting for a Coleman family (see Theorem 5.7) and interpolate the central *L*-values attached to primitive forms lying in a Coleman family (see Corollary 5.9).

NOTATION AND TERMINOLOGY. Throughout the paper, we fix an odd prime p, a positive integer N satisfying (N, 2p) = 1 and a non-negative rational number  $\alpha$ . We assume that  $Np \geq 4$  to ensure that  $\Gamma_1(Np)$  is torsion-free. We denote by  $\overline{\mathbb{Q}}$  and  $\overline{\mathbb{Q}}_p$  an algebraic closure of the rational number field  $\mathbb{Q}$ , and the p-adic number field  $\mathbb{Q}_p$ , respectively. Let  $\mathbb{C}$  be the complex number field and  $\mathbb{C}_p$  the p-adic completion of  $\overline{\mathbb{Q}}_p$ . We fix two embeddings  $i_{\infty}: \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$  and  $i_p: \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p$ , and an isomorphism  $\mathbb{C}_p \xrightarrow{\sim} \mathbb{C}$  which commutes with  $i_{\infty}$  and  $i_p$ . Let  $\operatorname{ord}_p$  be the normalized p-adic additive valuation on  $\mathbb{C}_p$  so that  $\operatorname{ord}_p(p) = 1$  and  $|\cdot|_p$  the absolute value given by  $\operatorname{ord}_p$ . For  $z \in \mathbb{C}$ , we define  $\sqrt{z} = z^{1/2}$  so that  $-\pi/2 < \arg(z^{1/2}) \leq \pi/2$  and put  $z^{k/2} := (\sqrt{z})^k$  for each integer k. We denote by  $\Gamma_0(M)$  the congruence subgroup of  $\operatorname{SL}_2(\mathbb{Z})$  consisting of matrices whose left lower entry is divisible by M. We denote by  $S_k(M,\varepsilon)$  the space of  $\Gamma_0(M)$ -cusp forms of weight k with a Dirichlet character  $\varepsilon$  modulo M. We denote by  $S_k^{\operatorname{new}}(M,\varepsilon)$  the orthogonal complement of the subspace of old forms of level N in  $S_k(M,\varepsilon)$  with respect to the Petersson inner product. For a modular form f, we denote by  $a_n(f)$  the n-th Fourier coefficient of f and put  $L(s, f) := \sum_{n\geq 1} a_n(f)n^{-s}$ . We call  $f \in S_k(M,\varepsilon)$  a Hecke eigenform of level M if f satisfies  $f|T_n = a_n(f)f$  for the usual Hecke operators  $T_n$  on  $S_k(M,\varepsilon)$  for all positive integers n. We refer to a Hecke eigenform of level M in  $S_k^{\operatorname{new}}(M,\varepsilon)$  as a primitive form of level M. For a Hecke eigenform  $f \in S_k(M,\varepsilon)$ , the  $T_p$ -slope of f is defined as  $\operatorname{ord}_p(a_p(f))$ . We denote by  $S_k(M,\varepsilon)_\alpha$  the subspace of  $S_k(M,\varepsilon)$  spanned by the generalized eigenspaces for eigenvalues  $\lambda$  of  $T_p$  with  $\operatorname{ord}_p(\lambda) = \alpha$ . Let  $\mathbb{Z}[\varepsilon]$  be the ring generated by the values of  $\varepsilon$  over  $\mathbb{Z}$ . For a  $\mathbb{Z}[\varepsilon]$ -algebra R

$$S_k(M,\varepsilon;R)_{\alpha} := (S_k(M,\varepsilon)_{\alpha} \cap \mathbb{Z}[\varepsilon][[q]]) \otimes_{\mathbb{Z}[\varepsilon]} R,$$
(1)

$$S_k^{\text{new}}(M,\varepsilon;R)_{\alpha} := (S_k^{\text{new}}(M,\varepsilon) \cap S_k(M,\varepsilon;\mathbb{Z}[\varepsilon])_{\alpha}) \otimes_{\mathbb{Z}[\varepsilon]} R.$$
(2)

For a Hecke eigenform f, we denote by  $\mathbb{Q}_f$  the subfield of  $\mathbb{C}$  generated over  $\mathbb{Q}$  by the eigenvalues of f for the Hecke operators  $T_n$  for all positive integers n and refer to it as the Hecke field of f. For a Dirichlet character

 $\chi$ , we denote by  $\chi_0$  the primitive character attached to  $\chi$ ,  $c_{\chi}$  the conductor of  $\chi$ , and  $G(\chi_0)$  the Gauss sum of  $\chi_0$ , i.e.,  $G(\chi_0) := \sum_{a=0}^{c_{\chi}-1} \chi_0(a) \exp(2\pi \sqrt{-1}a/c_{\chi})$ . For  $f \in S_k(M, \varepsilon)$  and a primitive character  $\psi$ , we denote by  $f \otimes \psi \in S_k(L, \varepsilon \psi)$  the  $\psi$ -twist of f defined by  $a_n(f \otimes \psi) := \psi(n)a_n(f)$  for all  $n \ge 1$ , where L is the least common multiple of M,  $c_{\psi}^2$ , and  $c_{\psi}c_{\varepsilon}$  ([20, Lemma 4.3.10.(2)]). For a non-zero integer a, we let  $\chi_a$  denote the *Kronecker symbol*  $\chi_a(b) := \left(\frac{a}{b}\right)$  defined by [20, (3.1.9)]. We call D a fundamental discriminant if D is either 1 or the discriminant of a quadratic field. We denote by 1 the trivial Dirichlet character. By  $d \parallel n$ , we mean  $d \mid n$ and (d, n/d) = 1.

We state the objectives of the paper. Let  $f \in S_{2k_0+2}^{\text{new}}(N,\chi^2)_{\alpha}$  be a primitive form with  $2k_0 + 1 > \alpha \neq (2k_0 + 1)/2$ ,  $f^* \in S_{2k_0+2}(Np,\chi^2)_{\alpha}$  the *p*-stabilization, which is a Hecke eigenform of level Np with the same  $T_q$ -eigenvalues as f for any q except for q = p (see (115)), D a fundamental discriminant with (D,Np) = 1 and  $\chi_D\chi(-1)(-1)^{k_0} = -1$ , and K the *p*-adic completion of the number field obtained by adjoining the values of  $\chi$  and  $\chi(-1)^{1/2}|D|^{1/2}G(\chi_0^{-1})$  to the Hecke field  $\mathbb{Q}_{f^*}$ . Then there exists a *Coleman family*  $\{f_{2k+2}^*\}_k$  passing through  $f^*$ , which consists of the *p*-stabilizations  $f_{2k+2}^*$  of each primitive form  $f_{2k+2} \in S_{2k+2}^{\text{new}}(N,\chi^2;\mathcal{O}_K)_{\alpha}$  for each 2k in

$$W := \{k \in \mathbb{Z} \mid k \equiv 2k_0 \pmod{(p-1)p^m}, \ k+1 > \alpha\},\tag{3}$$

satisfying  $f_{2k+2}^* \equiv f_{2k_0+2}^* = f^* \pmod{p}$  (see Theorem 4.4). We consider the *D*-th Shintani lifting  $\theta_{k,\chi,D}^{Np}(f_{2k+2}^*)$ , which is a cusp form of half-integral weight k + 3/2 in the Kohnen plus space (see (12) for  $\theta_{k,\chi,D}^{Np}$  and (7) for the Kohnen plus space). Let  $\Omega(f_{2k+2}^*)^- \in \mathbb{C}_p^{\times}$  be the period attached to  $f_{2k+2}^*$  obtained by the fact that the  $f_{2k+2}^*$ -part of a group of modular symbols is free of rank one over the ring of integer  $\mathcal{O}_K$  of K (see [13, Proposition 3.3]). By the virtue of cohomological interpretation of the *D*-th Shintani lifting, we can define the algebraic part of the |D|-th Shintani lifting

$$\theta_D^{\text{alg}}(f_{2k+2}^*) := (\Omega(f_{2k+2}^*)^{-})^{-1} p \cdot \theta_{k,\chi,D}^{Np}(f_{2k+2}^*), \tag{4}$$

has the Fourier coefficients in  $\mathcal{O}_K$  (Theorem 3.3), where we use our hypothesis  $Np \geq 4$  to ensure that  $\Gamma_0(Np)$ is torsion-free and identify modular symbols with compactly supported cohomology (see Section 3). We will interpolate a family  $\{\theta_D^{\text{alg}}(f_{2k+2}^*)\}_k$ , *p*-adically. According to Theorem 5.3, we may take the error terms of the *p*-adic interpolation as *p*-adic units. Then, we will prove the main theorem that for *k* sufficiently close to  $k_0$ , *p*-adically,  $\theta_D^{\text{alg}}(f_{2k+2}^*)$  is congruent to  $\theta_D^{\text{alg}}(f^*)$  modulo *p*-power, up to a *p*-adic unit (Theorem 5.7). The remarkable property of the *D*-th Shintani lifting is that  $a_{|D|}(\theta_{k,\chi,D}^N(f_{2k+2}))$  equals  $L(k+1, f \otimes \chi_D \chi_0^{-1})$ , up to an explicit constant (Theorem 2.4). Since  $f_{2k+2}^*$  is not a primitive form of level Np, we cannot immediately find a relation between  $a_{|D|}(\theta_{k,\chi,D}^{Np}(f_{2k+2}))$  and the central *L*-value attached to  $f_{2k+2}^*$ . However, we fortunately see that  $a_{|D|}(\theta_{k,\chi,D}^{Np}(f_{2k+2}))$  equals  $a_{|D|}(\theta_{k,\chi,D}(f_{2k+2}))$ , up to the product of  $2(1-p^{-1})$  and the *p*-Euler factor (Proposition 2.10). Then we obtain a congruence between the central *L*-values attached to  $f^*$  and  $f_{2k+2}^*$ (Corollary 5.9). The final section of the paper gives two applications under the assumption that  $\chi = 1$ ,  $\alpha = 0$ , and N is square-free. One of them states that a congruence between Hecke eigenforms of different weights sufficiently close, *p*-adically, derives a congruence between their central *L*-values, up to a *p*-adic unit (Theorem 6.1). The other application is for the Goldfeld conjecture in analytic number theory. To state the conjecture, let *f* be a primitive form of weight 2k + 2 and *D* a fundamental discriminant. For a positive real number *X*, we define the number

$$M_f(X) := \sharp \{ |D| \le X \mid L(k+1, f \otimes \chi_D) \neq 0 \}.$$
(5)

Then the conjecture states that

$$M_f(X) \gg X,\tag{6}$$

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i.e., there exists a positive constant c such that for sufficiently large X we have  $M_f(X) > cX$ . Currently, it seems that the best estimate in general case is due to Ono and Skinner [22], who showed  $M_f(X) \gg X/\log X$ (see [22, Corollary 3]). Suppose that  $k + 1 \ge 6$  is even. Kohnen [15] proved that there exists a Hecke eigenform  $f \in S_{2k+2}(SL_2(\mathbb{Z}))$  satisfying (6) (see [15, Corollary 1]). Moreover, he pointed out that (6) holds for any Hecke eigenform  $f \in S_{2k+2}(SL_2(\mathbb{Z}))$  (see [15, Corollary 2]) assuming a conjecture of Maeda (see [12, Conjecture 1.2]) with respect to each even integer  $k + 1 \ge 6$ . Vatsal showed that a primitive form f attached to a certain elliptic curve over  $\mathbb{Q}$  of conductor N with a rational point of order 3 and good ordinary reduction at 3 satisfies (6). Taking p = 3 (and hence  $N \ge 3$  by the assumption that N is odd with  $Np \ge 4$ ) in Theorem 5.7, we expand

this result into the case of higher weights (Theorem 6.4). Our result may be regarded as a generalization of

2. Kojima and Tokuno's *D*-th Shintani lifting

Kohnen's result in [15] to the case of odd square-free level  $N \geq 3$ .

## 2.1. Definition and properties

Let k be a non-negative integer, M an odd positive integer and  $\chi$  a Dirichlet character modulo M. Put  $\tilde{\chi} := \chi_{\epsilon} \chi$  with  $\epsilon := \chi(-1)$ . We denote the Kohnen plus space by

$$S_{k+3/2}^{+}(4M,\tilde{\chi}) := \left\{ g \in S_{k+3/2}^{\mathrm{Sh}}(4M,\tilde{\chi}) \mid a_n(g) = 0 \text{ if } \chi(-1)(-1)^{k+1}n \equiv 2,3 \pmod{4} \right\},$$
(7)

where  $S_{k+2/3}^{\text{Sh}}(4M, \tilde{\chi})$  is the space of cusp forms of half-integral weight k + 3/2 with level 4M and a character  $\tilde{\chi}$  modulo 4M in the sense of Shimura [27, p. 447]. Let D be a fundamental discriminant with  $\chi(-1)(-1)^{k+1}D > 0$  and (D, M) = 1. For  $g \in S_{k+3/2}^+(4M, \tilde{\chi})$  and each prime  $\ell$ , the Hecke operator  $T_{\ell^2}$  is defined by

$$a_n(g|T_{\ell^2}) = a_{\ell^2 n}(g) + \chi_{(-1)^{k+1}n} \tilde{\chi}(\ell) \ell^k a_n(g) + \chi(\ell^2) \ell^{2k-1} a_{n/\ell^2}(g)$$
(8)

for any positive integer n with  $\chi(-1)(-1)^{k+1}n \equiv 0, 1 \pmod{4}$ . We define the *D*-th Shimura lifting  $\operatorname{Sh}_{k,\chi,D}^M$  by

$$\operatorname{Sh}_{k,\chi,D}^{M}(g) := \sum_{n \ge 1} \left( \sum_{d|n} \chi_D \chi(d) d^k a_{n^2|D|/d^2}(g) \right) q^n$$
(9)

(see [17, (3-1)]). As Kohnen pointed out in his paper [14, p. 241, l. 4-9], the image of the *D*-th Shimura lifting  $\operatorname{Sh}_{k,\chi,D}^{M}$  is contained in the space of cusp forms under the assumption that

either 
$$k \ge 1$$
,  $M$  is square-free, or cubic-free and  $\chi = \mathbb{1}$ . (10)

Then the following theorem is a restatement of [17, Theorem 3.1] including the case of  $k \ge 0$ .

**Theorem 2.1.** We have the commutative diagram:

for all primes  $\ell$ . In this sense, the D-th Shimura lifting  $\operatorname{Sh}_{k,\chi,D}^M$  is Hecke equivariant.

Now we define the *D*-th Shintani lifting  $\theta_{k,\chi,D}^M$  as the adjoint mapping of  $\operatorname{Sh}_{k,\chi,D}$  with respect to the Petersson inner product  $\langle , \rangle$ , i.e.,

$$\langle g, \theta^M_{k,\chi,D}(f) \rangle = \langle \mathrm{Sh}^M_{k,\chi,D}(g), f \rangle$$
 (12)

for every  $g \in S_{k+3/2}(4M, \tilde{\chi})$  and  $f \in S_{2k+2}(M, \chi^2)$ . Then the *D*-th Shintani lifting  $\theta^M_{k,\chi,D}$  is Hecke equivariant, i.e.,  $\theta^M_{k,\chi,D}(f)|T_{\ell^2} = \theta^M_{k,\chi,D}(f|T_\ell)$  for all primes  $\ell$ . Whenever we use  $\theta^M_{k,\chi,D}$ , we assume that (10). Let  $\Delta$  be a non-zero integer with  $\Delta \equiv 0, 1 \pmod{4}$ . We denote by [a, b, c] the binary quadratic form defined by

$$[a, b, c](X, Y) = aX^{2} + bXY + cY^{2}$$
(13)

and call  $b^2 - 4ac$  the discriminant. We denote by  $\mathcal{L}(\Delta)$  the set of all integral binary quadratic forms with discriminant  $\Delta$ . For each integer M, we set

$$\mathcal{L}_M(\Delta) := \{ [a, b, c] \in \mathcal{L}(\Delta) \mid a \equiv 0 \pmod{M} \}.$$
(14)

We let  $\gamma \in \mathrm{SL}_2(\mathbb{Z})$  act on  $[a, b, c] \in \mathcal{L}_M(\Delta)$  by

$$([a,b,c]\circ\gamma)(X,Y) := [a,b,c]((X,Y)^t\gamma).$$

$$(15)$$

Letting  $\gamma = \begin{pmatrix} x & y \\ z & w \end{pmatrix}$ , we see that the action above is as follows:  $[a, b, c] \circ \gamma = [ax^{2} + bxz + cz^{2}, 2axy + byz + bxw + 2czw, ay^{2} + byw + cw^{2}]$ (16)

For each  $Q = [a, b, c] \in \mathcal{L}_M(\Delta)$ , we associate it with the pair  $(\omega_Q, \omega_Q')$  of points in  $\mathbb{P}^1(\mathbb{R}) = \mathbb{R} \cup \{i\infty\}$  given by

$$(\omega_Q, \omega_Q') := \begin{cases} \left( (-b - 2\sqrt{\Delta})/2a, \ (-b + 2\sqrt{\Delta})/2a \right) & \text{if } a \neq 0, \\ (-c/b, \ i\infty) & \text{if } a = 0 \text{ and } b > 0, \\ (i\infty, \ -c/b) & \text{if } a = 0 \text{ and } b < 0, \end{cases}$$
(17)

and the oriented geodesic path  $C_Q$  defined as the image in  $\Gamma_0(M) \setminus \mathfrak{H}$  of the semicircle  $a|z|^2 + b\operatorname{Re} z + c = 0$ oriented from  $\omega_Q$  to  $\omega'_Q$ . We set  $\chi_0(Q) := \chi_0(c)$ . A simple verification shows that for each  $f \in S_{2k+2}(M, \chi^2)$ , the integral

$$I_{k,\chi}(f,Q) := \chi_0(Q) \int_{C_Q} f(z)Q(z,1)^k dz$$
(18)

absolutely converges and depends only on the  $\Gamma_0(M)$ -orbit of Q in  $\mathcal{L}_M(\Delta)$ . Then by the same computation as in [17], we have the following explicit expressions of the Fourier coefficients of  $\theta^M_{k,\chi,D}$ .

**Theorem 2.2 ([17, Theorem 3.2]).** For any  $f \in S_{2k+2}(M, \chi^2)$  and any  $n \in \mathbb{Z}_{>0}$  with  $\chi(-1)(-1)^{k+1}n \equiv 0, 1 \pmod{4}$ . Then

$$a_n(\theta_{k,\chi,D}^M(f)) = c_{k,\chi,D} \sum_{t \mid c_\chi^{-1}M} \mu \chi_D \chi_0^{-1}(t) t^{-k-1} \gamma_{k,\chi,D}^M(f;n,t),$$
(19)

where we put

$$c_{k,\chi,D} := (-1)^{[(k+1)/2]} 2^{k+1} \chi_D(c_\chi) \chi(-1)^{1/2} \chi^{-1}(D) c_\chi^k G(\chi_0^{-1}),$$
(20)

$$\Delta_{n,t} := t^2 c_{\chi}^2 |D|n, \tag{21}$$

$$\gamma_{k,\chi,D}^{M}(f;n,t) := \sum_{Q \in \mathcal{L}_{tc_{\chi}M}(\Delta_{n,t})/\Gamma_{0}(M)} \omega_{D}(Q) I_{k,\chi}(f,Q),$$
(22)

and let [x] be the greatest integer not greater than x,  $\mu$  the Möbius function and  $\omega_D$  the generalized genus character as in [14]. Furthermore, if  $f \in S_{2k+2}^{\text{new}}(M, \chi^2)$ , then

$$a_n(\theta^M_{k,\chi,D}(f)) = c_{k,\chi,D}\gamma^M_{k,\chi,D}(f;n,1).$$
(23)

**Remark 2.3.** Since the sum (22) equals the Petersson inner product of f and the oldform of level M for  $t \neq 1$ , (see [17, (3-16]), we see that

$$\gamma^M_{k,\chi,D}(f;n,t) = 0 \tag{24}$$

for  $t \neq 1$  if f is a newform of level M. This is why we obtain the last assertion in the theorem above.

Suppose that  $c_{\chi} \parallel M$ . Let  $\ell$  be a prime factor of  $M/c_{\chi}$ , We put  $v_{\ell} := \operatorname{ord}_{\ell}(M/c_{\chi}) = \operatorname{ord}_{\ell}(M)$ . Let  $\gamma_{\ell}$  be an element in  $\operatorname{SL}_2(\mathbb{Z})$  such that

$$\gamma_{\ell} \equiv \begin{cases} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} & (\text{mod } \ell^{2v_{\ell}}), \\ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & (\text{mod } (M/\ell^{v_{\ell}})^2). \end{cases}$$
(25)

We put  $\eta_{\ell} := \gamma_{\ell} \cdot \text{diag}(\ell^{v_{\ell}}, 1)$  (see [20, (4.6.21)]). We define the eigenvalue of f for the Atkin-Lehner involution  $\eta_{\ell}$  by

$$w_{\ell}(f) := \chi^2(\ell^{v_{\ell}})a_1(f|_{2k+2}\eta_{\ell}).$$
(26)

If  $v_{\ell} = 1$ , then we have  $a_1(f|_{2k+2}\eta_{\ell}) = -\chi^{-2}(\ell)\ell^{-k}a_{\ell}(f)$  by [20, Corollary 4,6,18.(2)] and hence

$$w_{\ell}(f) = -\ell^{-k}a_{\ell}(f) \in \{\pm 1\}$$
(27)

by [20, Theorem 4.6.17.(2)].

**Theorem 2.4 ([17, Theorem 4.2 and (4-12)]).** Let  $f \in S_{2k+2}^{\text{new}}(M,\chi^2)$  be a primitive form. Suppose that  $c_{\chi} \parallel M$ . We put

$$R_D(f) := \prod_{\ell} \left( 1 + \chi_D \chi(\ell^{\nu_\ell}) w_\ell(f) \left( \frac{1 - \chi_D \chi^{-1}(\ell) \ell^{-k-1} a_\ell(f)}{1 - \chi_D \chi(\ell) \ell^{-k-1} a_\ell(f)^c} \right) \right),$$
(28)

where  $\prod_{\ell}$  is taken over all prime factors  $\ell$  of  $M/c_{\chi}$  and  $a_{\ell}(f)^c$  is the complex conjugate of  $a_{\ell}(f)$ . Then

$$a_{|D|}(\theta_{k,D,\chi}^{M}(f)) = R_{D}(f)|D|^{k+1/2}c_{\chi}^{2k+1}\pi^{-(k+1)}k!L\left(k+1, f \otimes \chi_{D}\chi_{0}^{-1}\right),$$
(29)

Remark 2.5. Let the notation and the assumption be the same as the theorem above.

1. If  $R_D(f) \neq 0$ , then  $\operatorname{ord}_p(R_D(f)) = 1$ .

2. If  $\chi^2 = 1$ , then the Hecke field of f is totally real by [26, Proposition 1.3], and hence

$$R_D(f) = \prod_{\ell} \left( 1 + \chi_D \chi(\ell^{\nu_\ell}) w_\ell(f) \right).$$
(30)

- 3. If  $\chi^2 = 1$  and  $M/c_{\chi}$  is square-free, then  $R_D(f) \in \{0, 2^{\nu(M/c_{\chi})}\}$  by (27), where  $\nu(M/c_{\chi})$  is the number of distinct prime factors of  $M/c_{\chi}$ . In particular, if  $\chi = 1$ , then the followings are equivalent:
  - (a)  $R_D(f) \neq 0.$ (b)  $R_D(f) = 2^{\nu(M)}.$
  - (b)  $R_D(f) = 2^{\ell}$ . (c)  $\chi_D(\ell) = w_\ell(f)$  for all prime divisors  $\ell$  of M.

In this case, the formula (29) is nothing but the result of Kohnen in [14] and the sign of the functional equation of  $L(s, f \otimes \chi_D)$  is  $(-1)^{k+1}\chi_D(-1)$ , i.e., if  $(-1)^{k+1}\chi_D(-1) = -1$ , then  $L(k+1, f \otimes \chi_D) = 0$ .

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#### 2.2. Integral binary quadratic forms on which $\Gamma_0(M)$ acts

We need to prepare more notations for sets of quadratic forms in order to state a key lemma below (Lemma 2.8), which plays an important role in the proof of Proposition 2.10. We refer to [9] for a theory of quadratic forms that we need. We fix a positive integer M and a non-zero integer  $\Delta \equiv 1, 0 \pmod{4}$  in this subsection. We denoted the set of  $\Gamma_0(M)$ -primitive quadratic forms of discriminant  $\Delta$  by

$$\mathcal{L}_{M}^{0}(\Delta) := \{ [Ma, b, c] \in \mathcal{L}_{M}(\Delta) \mid (a, b, c) = 1 \}.$$

$$(31)$$

We set

$$S_M(\Delta) := \{ \bar{\varrho} \in \mathbb{Z}/2M\mathbb{Z} \mid \varrho^2 \equiv \Delta \pmod{4M} \}.$$
(32)

For  $\bar{\varrho} \in S_M(\Delta)$ , we set

$$\mathcal{L}^{0}_{M,\varrho}(\Delta) := \{ [Ma, b, c] \in \mathcal{L}^{0}_{M}(\Delta) \mid b \equiv \varrho \pmod{2M} \}.$$
(33)

Note that the  $\Gamma_0(M)$ -action  $\circ$  defined by (16) preserves  $\mathcal{L}^0_{M,\varrho}(\Delta)$  and that we have the following decomposition into the disjoint union of  $\Gamma_0(M)$ -invariant sets:

$$\mathcal{L}^{0}_{M}(\Delta) = \bigsqcup_{\bar{\varrho} \in S_{M}(\Delta)} \mathcal{L}^{0}_{M,\varrho}(\Delta).$$
(34)

We then have the following decomposition into the union of  $\Gamma_0(M)$ -invariant sets:

$$\mathcal{L}_M(\Delta) = \bigsqcup_{l^2 \mid \Delta} l \cdot \mathcal{L}_M^0(\Delta/l^2) = \bigsqcup_{l^2 \mid \Delta} \bigcup_{\bar{\varrho} \in S_M(\Delta/l^2)} l \cdot \mathcal{L}_{M,\varrho}^0(\Delta/l^2),$$
(35)

where the disjoint union  $\bigsqcup_{l^2|\Delta}$  is taken over all positive integers l such that  $l^2 \mid \Delta$ . For parameters  $M, \Delta, \varrho$  of  $\mathcal{L}^0_{M,\rho}(\Delta)$ , we define the greatest common divisor

$$m_{\varrho}^{M} := m := \left(M, \varrho, (\varrho^{2} - \Delta)/4M\right).$$
(36)

Note that the definition (36) depends only on  $\rho$  modulo 2*M*. For  $[Ma, b, c] \in \mathcal{L}^{0}_{M,\rho}(\Delta)$ , we have (M, b, ac) = m and (a, b, c) = 1, so the two numbers

$$(M, b, a) = m_1 \text{ and } (M, b, c) = m_2$$
 (37)

are coprime and  $m_1m_2 = m$ . We denote by  $\mathcal{L}^0_{M,\varrho,m_1,m_2}(\Delta)$  the set of forms  $[Ma, b, c] \in \mathcal{L}^0_{M,\varrho}(\Delta)$  satisfying (37). We then have the following decomposition into the disjoint union of  $\Gamma_0(M)$ -invariant sets:

$$\mathcal{L}^{0}_{M,\varrho}(\Delta) = \bigsqcup_{m_1,m_2} \mathcal{L}^{0}_{M,\varrho,m_1,m_2}(\Delta),$$
(38)

where  $\bigsqcup_{m_1,m_2}$  is taken over all pairs  $(m_1,m_2)$  of positive integers  $m_1,m_2$  satisfying  $(m_1,m_2) = 1$  and  $m = m_1m_2$ . Summarizing, we have the following decomposition of  $\mathcal{L}_M(\Delta)$  into the union of  $\Gamma_0(M)$ -invariant sets:

$$\mathcal{L}_M(\Delta) = \bigsqcup_{l^2 \mid \Delta} \bigcup_{\bar{\varrho} \in S_M(\Delta/l^2)} \bigsqcup_{m_1, m_2} l \cdot \mathcal{L}^0_{M, \varrho, m_1, m_2}(\Delta/l^2),$$
(39)

where  $\bigsqcup_{m_1,m_2}$  is taken over all pairs  $(m_1,m_2)$  of positive integers  $m_1,m_2$  satisfying  $(m_1,m_2) = 1$  and

$$(M, \varrho, (\varrho^2 - \Delta/l^2)/4M) = m_1 m_2.$$
 (40)

We put  $\mathcal{L}^0(\Delta) := \mathcal{L}^0_1(\Delta)$ .

**Proposition 2.6 ([9, Proposition, p.505]).** Let  $M_1$  and  $M_2$  be positive integers satisfying  $M = M_1M_2$  and  $(M_1, M_2) = (m_1, M_2) = (m_2, M_1) = 1$ . Then, the mapping  $[Ma, b, c] \mapsto [M_1a, b, M_2c]$  induces a bijection

$$\mathcal{L}^{0}_{M,\varrho,m_{1},m_{2}}(\Delta)/\Gamma_{0}(M) \hookrightarrow \mathcal{L}^{0}(\Delta)/\operatorname{SL}_{2}(\mathbb{Z}).$$
(41)

<sup>60</sup> We prove the following lemma needed in the proof of Proposition 2.10.

**Lemma 2.7.** Let  $\varrho \in S_{Np}(\Delta)$  and  $\varrho' \in S_N(\Delta)$  and let m, m' be positive integers with  $m \parallel m_{\varrho}^{Np}$  and  $m' \parallel m_{\varrho'}^{N}$ . The map  $[a, b, c] \mapsto [a, b, c]$  induces a bijection

$$\mathcal{L}^{0}_{Np,\varrho,m,1}(\Delta)/\Gamma_{0}(Np) \hookrightarrow \mathcal{L}^{0}_{N,\varrho',m',1}(\Delta)/\Gamma_{0}(N).$$
(42)

Moreover, if (m.p) = 1, then  $\tau : [a, b, c] \mapsto [a/p, b, pc]$  induces a bijection between the same spaces as above.

PROOF. Taking (Np, 1) and (N, p) as the ordered pairs  $(M_1, M_2)$  in Proposition 2.6 for M := Np, we see that both mappings induce two bijections

$$\mathcal{L}^{0}_{Np,\varrho,m,1}(\Delta)/\Gamma_{0}(Np) \hookrightarrow \mathcal{L}^{0}(\Delta)/\operatorname{SL}_{2}(\mathbb{Z})$$

$$\tag{43}$$

by Proposition 2.6. On the other hand, taking (N,1) as the ordered pair  $(M_1, M_2)$  in Proposition 2.6 for M := N, we see that the mapping  $[a, b, c] \mapsto [a, b, c]$  induces a bijection

$$\mathcal{L}^{0}(\Delta)/\operatorname{SL}_{2}(\mathbb{Z}) \hookrightarrow \mathcal{L}^{0}_{N,\varrho',m',1}(\Delta)/\Gamma_{0}(N)$$
(44)

by Proposition 2.6. Composing these maps, we obtain the assertion.

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Assume that  $\Delta$  is a perfect square and let  $\delta$  be a positive integer such that  $\Delta = \delta^2$ . For a positive integer M' with  $M' \parallel M$ , we define a map  $w_{M'} : S_M(\Delta) \to S_M(\Delta)$  by

$$w_{M'}(\varrho) \equiv \begin{cases} \varrho \pmod{2M/M'}, \\ -\varrho \pmod{M'}. \end{cases}$$
(45)

Similarly to Atkin-Lehner involutions  $W_{M'}$  on quadratic forms in [9, Section 1], these maps  $w_{M'}$  are bijections and satisfy the relation  $w_{M'} \circ w_{M''} = w_{M'M''/(M',M'')^2}$ , so they form a group of order  $2^t$ , where t is the number of distinct prime factors of M.

**Lemma 2.8.** Let c be a positive integer with  $c \parallel M$  and d an integer with (d, M) = 1. Then we have the decomposition into the disjoint union of  $\Gamma_0(M)$ -invariant sets

$$\mathcal{L}_{cM}(c^2 d^2) = \bigsqcup_{l|cd \ M'||c^{-1}M} \bigsqcup_{l \ \mathcal{L}_{M,w_{M'}(cd/l),c/(c,l),1}^0} (c^2 d^2/l^2), \tag{46}$$

where  $\bigsqcup_{l|cd}$  and  $\bigsqcup_{M' \parallel c^{-1}M}$  is taken over all positive divisors l of cd and all positive integers M' with  $M' \parallel c^{-1}M$ , respectively.

PROOF. We put  $\delta := cd$  and  $\Delta := \delta^2$  for short. For a positive divisor l of  $\delta$  and  $\rho \in S_M(\Delta/l^2)$ , we denote by  $m(l, \rho)$  the greatest common divisor of M,  $\rho$ , and  $(\rho^2 - \Delta/l^2)/4M$ . From

$$\mathcal{L}_{M}(\Delta) = \bigsqcup_{l \mid \delta} \bigcup_{\varrho \in S_{M}(\Delta/l^{2})} \bigsqcup_{m \mid \mid m(l,\varrho)} l \cdot \mathcal{L}^{0}_{M,\varrho,m,m(l,\varrho)/m}(\Delta/l^{2})$$

((39)), we see that

$$\mathcal{L}_{cM}(\Delta) = \bigsqcup_{l|\delta} \bigcup_{\varrho \in S_M(\Delta/l^2)} \mathcal{L}_{cM}(\Delta)_{l,\varrho}, \text{ where } \mathcal{L}_{cM}(\Delta)_{l,\varrho} := \bigsqcup_{\substack{m||m(l,\varrho)\\ lm \equiv 0 \pmod{c}}} l \cdot \mathcal{L}^0_{M,\varrho,m,m(l,\varrho)/m}(\Delta/l^2).$$

Since  $lm \equiv 0 \pmod{c}$  implies  $m(l, \varrho) \equiv 0 \pmod{c/(c, l)}$  for  $m \parallel m(l, \varrho)$ , we see that the union runs over  $\varrho \in S_M(\Delta/l^2)$  such that  $m(l, \varrho) \equiv 0 \pmod{c/(c, l)}$  we have Via the natural bijection from  $G := \{M' \in \mathbb{Z}_{>0} \mid M' \parallel M\}$  into the group of  $w_{M'}$ 's, we may regard G as a group and G acts on the set  $S_M(\Delta/l^2)$  for any positive divisor l of  $\delta$ . For a prime divisor q of M, we put  $v_q := \operatorname{ord}_q(M)$ ,  $n := [v_q/2]$ , and,

$$R_q := \{ mp^{n'} \mid m \in \mathbb{Z}, 0 \le m \le (q^n - 1)/2 \} \text{ with } n' := \begin{cases} n & \text{if } v_q \text{ is even,} \\ n+1 & \text{if } v_q \text{ is odd.} \end{cases}$$
(47)

Notice that  $R_q \cup (-R_q)$  is a complete system of representatives for  $\{\bar{x} \in \mathbb{Z}/q^{v_q}\mathbb{Z} \mid x^2 \equiv 0 \pmod{q^{v_q}}\}$ . Let S be the set of prime divisors q of M such that  $\Delta/l^2 \equiv 0 \pmod{q^{v_q}}$ . For  $r = (r_q)_q \in \prod_{q \in S} R_q$ , we let  $\varrho_r$  be an element in  $S_M(\Delta/l^2)$  such that for any prime factor q of 2M,

$$\varrho_r \equiv \begin{cases} r_q \pmod{q^{v_q}} & \text{if } q \in S, \\ \delta/l \pmod{q^{v_q}} & \text{if } q \notin S. \end{cases}$$
(48)

We then have the *G*-orbit decomposition  $S_M(\Delta/l^2) = \bigsqcup_{(r_q)_q \in \Pi_q \in SR_q} G \cdot \varrho_r$ . Note that  $m(l, \varrho) = m(l, \varrho_r)$  if  $\varrho \in G \cdot \varrho_r$  and that for any  $\varrho \in S_M(\Delta/l^2)$ , we see that  $m(l, \varrho) \equiv 0 \pmod{c/(c, l)}$  if and only if  $\varrho \in G \cdot \delta/l$ , and in this case  $m(l, \varrho) = c/(c, l)$ . We thus have

$$\bigcup_{\substack{\varrho \in S_M(\Delta/l^2) \\ m(l,\varrho) \equiv 0 \pmod{c/(c,l)}}} \mathcal{L}_{cM}(\Delta)_{l,\varrho} = \bigcup_{\varrho \in G \cdot \delta/l} \mathcal{L}_{cM}(\Delta)_{l,\varrho} = \bigcup_{\varrho \in G \cdot \delta/l} l \cdot \mathcal{L}^0_{M,\varrho,c/(c,l),1}(\Delta/l^2).$$

Here, for  $\varrho_1, \varrho_2 \in G \cdot \delta/l$ , we see that the intersection of  $l \cdot \mathcal{L}^0_{M,\varrho_1,c/(c,l),1}(\Delta/l^2)$  and  $l \cdot \mathcal{L}^0_{M,\varrho_2,c/(c,l),1}(\Delta/l^2)$  is non-empty if and only if  $\varrho_1 \equiv \varrho_2 \pmod{2M/c}$ . Therefore, we have

$$\bigcup_{\varrho \in G \cdot \delta/l} l \cdot \mathcal{L}^0_{M,\varrho,c/(c,l),1}(\Delta/l^2) = \bigsqcup_{M' \parallel c^{-1}M} l \cdot \mathcal{L}^0_{M,w_{M'}(\delta/l),c/(c,l),1}(\Delta/l^2).$$

2.3. Relationship between  $a_{|D|}(\theta_{k,\chi,D}^{Np}(f^*))$  and  $a_{|D|}(\theta_{k,\chi,D}^N(f))$ 

**Lemma 2.9.** For any  $f^* \in S_{2k+2}(Np, \chi^2)$  and any  $n \in \mathbb{Z}_{>0}$  with  $\chi(-1)(-1)^{k+1}n \equiv 0, 1 \pmod{4}$ , we have

$$a_n(\theta_{k,\chi,D}^{Np}(f^*)) = (1 - p^{-1}) c_{k,\chi,D} \sum_{t \mid c_\chi^{-1}N} \mu \chi_D \chi_0^{-1}(t) t^{-k-1} \gamma_{k,\chi,D}^{Np}(f^*; n, t),$$
(49)

where recall that  $c_{k,\chi,D}$ ,  $\Delta_{n,t}$ , and  $\gamma_{k,\chi,D}^{Np}(f;n,t)$  are given by (20), (21), and (22), respectively.

PROOF. We put  $a(t) := \mu \chi_D \chi^{-1}(t) t^{-k-1} \gamma_{k,\chi,D}^{Np}(f^*; n, t)$  for short. We see that

t

$$\sum_{|c_{\chi}^{-1}Np} a(t) = \sum_{t \mid c_{\chi}^{-1}N} \left( a(t) + a(pt) \right).$$
(50)

By Theorem 2.2, it suffices to prove  $a(pt) = -p^{-1}a(t)$ . Let  $t \mid c_{\chi}^{-1}N$  and  $Q \in \mathcal{L}_{ptc_{\chi}Np}(\Delta_{n,pt})/\Gamma_0(Np)$ . Notice that the coefficients of the quadratic form Q are divisible by p. Since  $\omega_D(Q) = \chi_D(p)\omega_D(p^{-1}Q)$  and  $I_{k,\chi}(f^*, Q) = \chi(p)p^k I_{k,\chi}(f^*, p^{-1}Q)$ , we see that

$$\gamma_{k,\chi,D}^{Np}(f^*;n,pt) = \chi_D\chi(p)p^k\gamma_{k,\chi,D}^{Np}(f^*;n,t).$$

We thus have  $a(pt) = \mu \chi_D \chi^{-1}(pt)(pt)^{-k-1} \cdot \chi_D \chi(p) p^k \gamma_{k,\chi,D}^{Np}(f^*;n,t) = -p^{-1}a(t).$ 

For a formal power series  $\sum_{n\geq 0} a(n)q^n$ , we define

$$\left(\sum_{n\geq 0} a(n)q^n\right)|V_p:=\sum_{n\geq 0} a(n)q^{pn}.$$
(51)

**Proposition 2.10.** Let  $f \in S_{2k+2}^{new}(N, \chi^2)$  be a primitive form with  $c_{\chi} \parallel N$  and D a fundamental discriminant with  $\chi(-1)(-1)^{k+1}D > 0$  and (D, Np) = 1. We put  $f^* := f - \beta \cdot f | V_p \in S_{2k+2}(Np, \chi^2)$  with  $\beta \in \mathbb{C}$ . Then,

$$a_{|D|}(\theta_{k,\chi,D}^{Np}(f^*)) = 2\left(1 - p^{-1}\right)\left(1 - \chi_D \chi^{-1}(p)p^{-k-1}\beta\right) \cdot a_{|D|}(\theta_{k,\chi,D}^N(f)).$$
(52)

PROOF. By Lemma 2.9 and Theorem 2.2, we have

$$a_{|D|}(\theta_{k,\chi,D}^{Np}(f^*)) = (1 - p^{-1})c_{k,\chi,D} \sum_{t|c_{\chi}^{-1}N} \mu\chi_D\chi^{-1}(t)t^{-k-1}\gamma_{k,\chi,D}^{Np}(f^*;|D|,t),$$
(53)  
$$a_{|D|}(\theta_{k,\chi,D}^N(f)) = c_{k,\chi,D} \sum_{t|c_{\chi}^{-1}N} \mu\chi_D\chi^{-1}(t)t^{-k-1}\gamma_{k,\chi,D}^N(f;|D|,t)$$
$$= c_{k,\chi,D} \cdot \gamma_{k,\chi,D}^N(f;|D|,1),$$
(54)

where the last equation is due to (24). We put  $I_Q(f) := \omega_D(Q)I_{k,\chi}(f,Q)$  for short. Remember that, from the notation (22), we have

$$\gamma_{k,\chi,D}^{Np}(f^*;|D|,t) = \sum_{Q \in \mathcal{L}_{tc_{\chi}Np}(\Delta_{|D|,t})/\Gamma_0(Np)} I_Q(f^*),$$
(55)

$$\gamma_{k,\chi,D}^{N}(f;|D|,t) = \sum_{Q \in \mathcal{L}_{tc_{\chi}N}(\Delta_{|D|,t})/\Gamma_{0}(N)} I_{Q}(f),$$
(56)

Note that

$$\gamma_{k,\chi,D}^{Np}(f^*;|D|,t) = \gamma_{k,\chi,D}^{Np}(f;|D|,t) - \beta \cdot \gamma_{k,\chi,D}^{Np}(f|V_p;|D|,t).$$
(57)

We put

$$a := \sum_{t \mid c_{\chi}^{-1}N} \mu \chi_D \chi^{-1}(t) t^{-k-1} \gamma_{k,\chi,D}^{Np}(f^*; |D|, t).$$
(58)

Then  $a_{|D|}(\theta_{k,\chi,D}^{Np}(f^*)) = (1-p^{-1})c_{k,\chi,D} \cdot a$ . Let t be a positive and square-free divisor of  $N/c_{\chi}$  so that  $tc_{\chi} \parallel N$ . We put  $\delta_t := tc_{\chi}D$  for short so that  $\Delta_{|D|,t} = \delta_t^2$ . Taking  $(Np, tc_{\chi}, D)$  as the ordered triple (M, c, d) in Lemma 2.8, we have

$$\mathcal{L}_{tc_{\chi}Np}(\Delta_{|D|,t}) = \bigsqcup_{l|\delta_t} \bigsqcup_{M'\|(tc_{\chi})^{-1}Np} \mathcal{L}(l,M') = \mathcal{L}^{(p)} \sqcup \mathcal{L}_p,$$
(59)

where we put

$$\mathcal{L}(l,M') := l \cdot \mathcal{L}^0_{Np,w_{M'}(\delta_t/l), tc_\chi/(tc_\chi,l), 1}(\Delta_{|D|,t}/l^2)$$
(60)

$$\mathcal{L}^{(p)} := \bigsqcup_{l \mid \delta_t \ M' \mid (tc_{\chi})^{-1}N} \mathcal{L}(l, M') \text{ and } \mathcal{L}_p := \bigsqcup_{l \mid \delta_t \ M' \mid (tc_{\chi})^{-1}N} \mathcal{L}(l, pM').$$
(61)

We thus have

$$\gamma_{k,\chi,D}^{Np}(f;|D|,t) = \sum_{Q \in \mathcal{L}^{(p)}/\Gamma_0(Np)} I_Q(f) + \sum_{Q \in \mathcal{L}_p/\Gamma_0(Np)} I_Q(f),$$
(62)

$$\gamma_{k,\chi,D}^{Np}(f|V_p;|D|,t) = \sum_{Q \in \mathcal{L}^{(p)}/\Gamma_0(Np)} I_Q(f|V_p) + \sum_{Q \in \mathcal{L}_p/\Gamma_0(Np)} I_Q(f|V_p).$$
(63)

Taking  $(N,tc_{\chi},D)$  as the ordered triple (M,c,d) in Lemma 2.8, we have

$$\mathcal{L}_{tc_{\chi}N}(\Delta_{|D|,t}) = \bigsqcup_{l|\delta_t} \bigsqcup_{M'||(tc_{\chi})^{-1}N} l \cdot \mathcal{L}^0_{N,w_{M'}(\delta_t/l),tc_{\chi}/(tc_{\chi},l),1}(\Delta_{|D|,t}/l^2).$$
(64)

By Lemma 2.7, both mappings  $[a, b, c] \mapsto [a, b, c]$  and  $\tau : [a, b, c] \mapsto [a/p, b, pc]$  induce two bijections

$$\mathcal{L}^{(p)}/\Gamma_0(Np) \hookrightarrow \mathcal{L}_{tc_{\chi}N}(\Delta_{|D|,t})/\Gamma_0(N) \text{ and } \mathcal{L}_p/\Gamma_0(Np) \hookrightarrow \mathcal{L}_{tc_{\chi}N}(\Delta_{|D|,t})/\Gamma_0(N).$$
(65)

Via two bijections (65) induced by  $[a, b, c] \mapsto [a, b, c]$ , we have

$$\sum_{Q \in \mathcal{L}^{(p)}/\Gamma_0(Np)} I_Q(f) = \sum_{Q \in \mathcal{L}_p/\Gamma_0(Np)} I_Q(f) = \gamma_{k,\chi,D}^N(f;|D|,t)$$
(66)

and by (62), we have

$$\gamma_{k,\chi,D}^{Np}(f;|D|,t) = 2 \cdot \gamma_{k,\chi,D}^{N}(f;|D|,t).$$
(67)

Via two bijections (65) induced by  $\tau : [a, b, c] \mapsto [a/p, b, pc]$ , we see that both  $\sum_{Q \in \mathcal{L}^{(p)}/\Gamma_0(Np)} I_Q(f|V_p)$  and  $\sum_{Q \in \mathcal{L}_p/\Gamma_0(Np)} I_Q(f|V_p)$  coincide with

$$\sum_{Q \in \mathcal{L}_{tc_{\chi}N}(\Delta_t)/\Gamma_0(N)} I_{\tau^{-1}(Q)}(f|V_p).$$
(68)

Here, by [9, Proposition 1 (Multiplicativity) and (Explicit formula)], we have  $\omega_D(\tau^{-1}(Q)) = \chi_D(p)\omega_D(Q)$  and by a simple calculation, we have

$$\chi_0(\tau^{-1}(Q)) = \chi^{-1}(p)\chi_0(Q), \tag{69}$$

$$\int_{C_{\tau^{-1}(Q)}} f(pz)\tau^{-1}(Q)(z,1)^k dz = p^{-k-1} \int_{C_Q} f(z)Q(z,1)^k dz.$$
(70)

Indeed, we see that the last equation as follows: Put [a, b, c] := Q. Then

$$\begin{split} \int_{C_{\tau^{-1}(Q)}} f(pz)\tau^{-1}(Q)(z,1)^k dz &= \int_{\omega_{\tau^{-1}(Q)}}^{\omega_{\tau^{-1}(Q)}'} f(pz)(paz^2 + bz + c/p)^k dz \\ &= p^{-k} \int_{p^{-1}\omega_Q}^{p^{-1}\omega_Q'} f(pz)(a(pz)^2 + b(pz) + c)^k dz \\ &= p^{-k} \int_{\omega_Q}^{\omega_Q'} f(z)(az^2 + bz + c)^k p^{-1} dz = p^{-k-1} \int_{C_Q} f(z)Q(z,1)^k dz, \end{split}$$

where at the second equation from the bottom, we have made use of the transformation law with respect to  $z \mapsto p^{-1}z$ . We thus have  $I_{\tau^{-1}(Q)}(f|V_p) = \chi_D \chi^{-1}(p) p^{-k-1} I_Q(f)$ , and hence (68) coincides with

$$\chi_D \chi^{-1}(p) p^{-k-1} \gamma_{k,\chi,D}^N(f; |D|, t).$$
(71)

By (63), we have

$$\gamma_{k,\chi,D}^{Np}(f|V_p;|D|,t) = 2 \cdot \chi_D \chi^{-1}(p) p^{-k-1} \gamma_{k,\chi,D}^N(f;|D|,t).$$
(72)

From (57), (67) and (72), we have

$$a = \sum_{t \mid c_{\chi}^{-1}N} \mu \chi_D \chi^{-1}(t) t^{-k-1} 2 \left( 1 - \chi_D \chi^{-1}(p) p^{-k-1} \beta \right) \gamma_{k,\chi,D}^N(f; |D|, t)$$
  
= 2  $\left( 1 - \chi_D \chi^{-1}(p) p^{-k-1} \beta \right) \gamma_{k,\chi,D}^N(f; |D|, 1),$  (73)

where the last equation is due to (24).

## 3. Cohomological interpretation of the *D*-th Shintani lifting

In this section, we will construct the cohomological *D*-th Shintani lifting  $\Theta_{k,\chi,D}^{Np}$  satisfying the following commutative diagram:

where all arrows are Hecke equivariant  $\mathbb{C}$ -homomorphisms and we concentrate on the minus parts because of  $\Theta_{k,\chi,D}^{Np}(\mathrm{Symb}_{\Gamma_0(Np)}(L(2k,\chi^2;\mathbb{C}_p))^+) = 0.$ 

## 3.1. Modular symbols and the Eichler-Shimura isomorphism

Let  $\Delta_0$  be a subsemigroup of  $M_2(\mathbb{Z}) \cap GL_2(\mathbb{Q})$  containing  $\Gamma_0(M)$ . Let  $\operatorname{Div}^0(\mathbb{P}^1(\mathbb{Q}))$  be the group of divisors of degree 0 supported on the rational cusps  $\mathbb{P}^1(\mathbb{Q}) = \mathbb{Q} \cup \{i\infty\}$  of the complex upper half plane  $\mathfrak{H}$ . We let  $\Delta_0$ act on  $\mathfrak{H}$  by fractional linear transformations, i.e.,

$$\gamma z := \begin{cases} (az+b)(cz+d)^{-1} \text{ if } \det(\gamma) > 0, \\ (a\bar{z}+b)(c\bar{z}+d)^{-1} \text{ if } \det(\gamma) < 0, \end{cases} \left( \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, z \in \mathfrak{H} \right).$$
(74)

This induces a natural action of  $\Delta_0$  on  $\mathfrak{H}^* := \mathfrak{H} \cup \mathbb{P}^1(\mathbb{Q})$  and  $\mathbb{P}^1(\mathbb{Q})$ . Then  $\Delta_0$  acts on  $\operatorname{Div}^0(\mathbb{P}^1(\mathbb{Q}))$  by linear fractional transformations. Let R be a commutative ring and E a left  $R[\Delta_0]$ -module. We let  $\gamma \in \Delta_0$  acts on  $\Phi \in \operatorname{Hom}_{\mathbb{Z}}(\operatorname{Div}^0(\mathbb{P}^1(\mathbb{Q})), E)$  by

$$(\Phi|\gamma)(D) := \gamma \Phi(\gamma D). \tag{75}$$

Then the abstract Hecke algebra  $R[\Gamma_0(M) \setminus \Delta_0/\Gamma_0(M)]$  with respect to the Hecke pair  $(\Gamma_0(M), \Delta_0)$  acts on the group of *E*-valued modular symbols over  $\Gamma_0(M)$ :

$$\operatorname{Symb}_{\Gamma_0(M)}(E) := \operatorname{Hom}_{\mathbb{Z}}(\operatorname{Div}^0(\mathbb{P}^1(\mathbb{Q})), E)^{\Gamma_0(M)}.$$
(76)

Let  $\tilde{E}$  be the locally constant sheaf on the open modular curve  $Y := \Gamma_0(M) \setminus \mathfrak{H}$  attached to E. Assume that

the orders of the torsion elements of  $\Gamma_0(M)$  act invertibly on E. (77)

Then by [3, Proposition 4.2], there exists a Hecke equivariant canonical isomorphism

$$H^1_c(Y, E) \xrightarrow{\sim} \operatorname{Symb}_{\Gamma_0(M)}(E).$$
 (78)

Throughout the paper, we will identify the group of compactly supported cohomology classes with the group of modular symbols under the assumption that (77). Note that (77) holds if either E is a vector space over a field of characteristic 0, E is a  $\mathbb{Z}_p$ -module with  $p \geq 5$ , or  $\Gamma_0(M)$  is torsion-free. Fix a point  $x_0 \in \mathbb{P}^1(\mathbb{Q})$ . The natural map  $\operatorname{Symb}_{\Gamma_0(M)}(E) \to H^1(\Gamma_0(M), E)$  sends a modular symbol  $\Phi$  to the cohomology class represented by the 1-cocycle  $\gamma \mapsto \Phi(\{\gamma x_0\} - \{x_0\})$ . This map yields a Hecke equivariant epimorphism

$$\operatorname{Symb}_{\Gamma_0(M)}(E) \twoheadrightarrow H^1_p(\Gamma_0(M), E).$$
(79)

The matrix  $\iota := \operatorname{diag}(1, -1)$  induces natural involutions on one of the above cohomology groups H, and each of cohomology groups H is decomposed into  $\pm$ -eigenmodules  $H = H^+ \oplus H^-$  if 2 acts invertibly on the coefficient module of H. Indeed, each cohomology class  $\Phi$  decomposes as  $\Phi = \Phi^+ + \Phi^-$ , where  $\Phi^{\pm} := 2^{-1}(\Phi \pm \Phi|\iota)$ . For a non-negative integer n, let L(n, R) be the R-module of homogeneous polynomials in (X, Y) of degree n with coefficients in R. Let  $\varepsilon$  be an R-valued Dirichlet character modulo M. We denote by  $L(n, \varepsilon; R)$  the  $R[\Gamma_0(M)]$ -module L(n, R) endowed with the  $\varepsilon$ -twisted action, i.e., for  $\gamma \in \Gamma_0(M)$  and  $P(X, Y) \in L(n, \varepsilon; R)$ ,

$$(\gamma P)(X,Y) = \varepsilon(\gamma)P((X,Y)^t\gamma), \tag{80}$$

where  $\varepsilon(\gamma)$  is the value of  $\varepsilon$  at the lower right entry of  $\gamma$ . Suppose that n! is invertible in R. We define a pairing  $[, ]: L(n, R) \times L(n, R) \to R$  by

$$\left[\sum_{i=0}^{n} a_j X^{n-i} Y^j, \sum_{i=0}^{n} b_i X^{n-i} Y^i\right] := \sum_{i=0}^{n} (-1)^i \binom{n}{i}^{-1} a_i b_{n-i}.$$
(81)

We use the following two properties later:

$$[(aX - bY)^n, P(X, Y)] = (-1)^n P(b, a)$$
(82)

$$[\gamma P, \gamma Q] = \det \gamma^n [P, Q] \tag{83}$$

for  $a, b \in R$ ,  $P, Q \in L(n, R)$  and  $\gamma \in M_2(R)$ . If K is a field of characteristic zero, then by the Manin-Drinfeld principle there exists a unique Hecke equivariant section

$$s_{k,\varepsilon} : H^1_p(\Gamma_0(M), L(k,\varepsilon;K)) \hookrightarrow \operatorname{Symb}_{\Gamma_0(M)}(L(k,\varepsilon;K))$$
(84)

of the surjection (79). For each cusp form  $f \in S_{k+2}(M, \varepsilon)$ , we define the  $L(k, \varepsilon; \mathbb{C})$ -valued differential form on  $\mathfrak{H}$ :

$$\omega_f := f(z)(X - zY)^k dz. \tag{85}$$

Fix a point  $z_0 \in \mathfrak{H}^*$ . We may attach a cohomology class  $\mathrm{ES}_k(f) \in H^1_p(\Gamma_0(M), L(k, \varepsilon; \mathbb{C}))$  defined by

$$\mathrm{ES}_k(f)(\gamma) := \int_{z_0}^{\gamma z_0} \omega_f \tag{86}$$

for each  $\gamma \in \Gamma_0(M)$ . The integral is independent of the choice of the point  $z_0$ . For either choice of sign  $\pm$ , we have a Hecke equivariant isomorphism

$$\mathrm{ES}_k^{\pm} : S_{k+2}(M,\varepsilon) \xrightarrow{\sim} H_p^1(\Gamma_0(M), L(k,\varepsilon;\mathbb{C}))^{\pm}; f \mapsto \mathrm{ES}_k^{\pm}(f) := \mathrm{ES}_k(f)^{\pm}$$
(87)

The additive map

$$\Phi_f : \operatorname{Div}^0(\mathbb{P}^1(\mathbb{Q})) \to L(k,\varepsilon;\mathbb{C}) \; ; \; \{c_2\} - \{c_1\} \mapsto \int_{c_1}^{c_2} \omega_f \tag{88}$$

defines a modular symbol in  $\operatorname{Symb}_{\Gamma_0(M)}(L(k,\varepsilon;\mathbb{C}))$ . Then  $\operatorname{ES}_k^{\pm}(f)$  is the image of  $\Phi_f$  under (79). Moreover, the map

$$S_{k+2}(M,\varepsilon) \to \operatorname{Symb}_{\Gamma_0(M)}(L(k,\varepsilon;\mathbb{C})) ; f \mapsto \Phi_f$$
(89)

is Hecke equivariant. Hence, by the Hecke equivariance of the Eichler-Shimura isomorphism (87), we see that for either choice of sign  $\pm$ ,

$$s_{k,\varepsilon}\left(\mathrm{ES}_k^{\pm}(f)\right) = \Phi_f^{\pm}.$$
(90)

## 3.2. The cohomological D-th Shintani lifting

Let k be a non-negative integer, M an odd positive integer,  $\chi$  a Dirichlet character modulo M, and D a fundamental discriminant with  $\chi(-1)(-1)^{k+1}D > 0$ . For each  $Q \in \mathcal{L}_M(\Delta)$  with a positive integer  $\Delta$  with  $\Delta \equiv 0, 1 \pmod{4}$ , let  $\partial C_Q \in \text{Div}^0(\mathbb{P}^1(\mathbb{Q}))$  be the boundary of  $C_Q$  given by

$$\partial C_Q := \{\omega'_Q\} - \{\omega_Q\},\tag{91}$$

where recall that  $(\omega_Q, \omega'_Q)$  is defined by (17) and that  $C_Q$  is the geodesic path oriented from  $\omega_Q$  to  $\omega'_Q$ . Let R be a commutative  $\mathbb{Z}[\chi][\chi(-1)^{1/2}|D|^{1/2}G(\chi_0^{-1})]$ -algebra such that (2k)! is invertible in R.

**Definition 3.1.** 1. For each  $\Phi \in \text{Symb}_{\Gamma_0(M)}(L(2k,\chi^2;R))$  and each  $Q \in \mathcal{L}_M(\Delta)$ , we set

$$J_{k,\chi}(\Phi,Q) := \chi_0(Q) \cdot \left[\Phi(\partial C_Q), Q^k\right] \in R,$$
(92)

$$\gamma_{k,\chi,D}^{M}(\Phi;n,t) := \sum_{Q \in \mathcal{L}_{tc_{\chi}M}(\Delta_{n,t})/\Gamma_{0}(M)} \omega_{D}(Q) J_{k,\chi}(\Phi,Q)$$
(93)

2. For  $\Phi \in \text{Symb}_{\Gamma_0(M)}(L(2k,\chi^2;R))$ , we define the *n*-th coefficient of  $\Theta_{k,\chi,D}^M(\Phi) \in R[[q]]$  by

$$a_n(\Theta_{k,\chi,D}^M(\Phi)) := c_{k,\chi,D} \sum_{t \mid c_\chi^{-1}M} \mu \chi_D \chi_0^{-1}(t) t^{-k-1} \gamma_{k,\chi,D}^M(\Phi; n, t)$$
(94)

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if  $\chi(-1)(-1)^{k+1}n \equiv 0, 1 \pmod{4}$  and  $a_n(\Theta_{k,\chi,D}^M(\Phi)) := 0$  otherwise. Here, recall that  $c_{k,\chi,D}$  and  $\Delta_{n,t}$  is defined by (20) and (21), respectively.

**Proposition 3.2.** 1. For any  $\Phi \in \text{Symb}_{\Gamma_0(M)}(L(2k, \chi^2; R))$ , we have

$$\Theta^M_{k,\chi,D}(\Phi|\iota) = -\Theta^M_{k,\chi,D}(\Phi).$$
(95)

2. For any  $f \in S_{2k+2}(M, \chi^2)$ , we have

$$\Theta_{k,\chi,D}^{M}(\Phi_f) = \Theta_{k,\chi,D}^{M}(\Phi_f^{-}) = \theta_{k,\chi,D}^{M}(f).$$
(96)

3. If K is a field of characteristic zero and  $\Phi$  belongs to the image of  $s_{2k,\chi^2}$ , then

$$\Theta^{M}_{k,\chi,D}(\Phi) \in S^{+}_{k+3/2}(4M,\tilde{\chi};K).$$
(97)

**PROOF.** The proof is essentially the same as [29, Proposition 4.3.3].

Let  $f \in S_{2k+2}(M, \chi^2)$  be a Hecke eigenform, K the p-adic completion of the field obtained by adjoining the values of  $\chi$  and  $\chi(-1)^{1/2}|D|^{1/2}G(\chi_0^{-1})$  to the Hecke field  $\mathbb{Q}_f$ , and  $\lambda_f$  the  $\mathcal{O}_K$ -algebra homomorphism corresponding to f. By [13, Proposition 3.3], the eigenmodule  $\operatorname{Symb}_{\Gamma_0(M)}(L(k, \chi^2; \mathcal{O}_K))^{\pm}[\lambda_f]$  is free of rank one over  $\mathcal{O}_K$ . Let  $\Delta_f^{\pm}$  be a generator of  $\operatorname{Symb}_{\Gamma_0(M)}(L(k, \chi^2; \mathcal{O}_K))^{\pm}[\lambda_f]$ . This fact implies that there exists  $\Omega(f)^{\pm} \in \mathbb{C}_p^{\times}$  such that

$$\Delta_f^{\pm} = (\Omega(f)^{\pm})^{-1} \cdot \Phi_f^{\pm} \in \operatorname{Symb}_{\Gamma_0(M)}(L(k,\chi^2;\mathcal{O}_K))^{\pm}[\lambda_f].$$
(98)

**Theorem 3.3.** Let  $f \in S_{2k+2}(Np, \chi^2)$  be a Hecke eigenform with  $\chi_D \chi(-1)(-1)^k = -1$ . Then,

$$(\Omega(f)^{-})^{-1} \cdot \theta_{k,\chi,D}^{Np}(f) = \Theta_{k,\chi,D}(\Delta_f^{-}) \in S_{k+3/2}^+(4Np,\tilde{\chi};p^{-1}\mathcal{O}_K).$$
(99)

PROOF. Since  $\Delta_f^- \in \text{Symb}_{\Gamma_0(Np)}(L(k,\varepsilon;\mathcal{O}_K))^-[\lambda_f]$ , we have

$$\chi_0(Q) \cdot \left[\Delta_f^-(\partial C_Q), Q^k\right] \in \mathcal{O}_K.$$
(100)

The assertion follows from Proposition 3.2.

For a Hecke eigenform  $f \in S_{2k+2}(Np,\chi^2)$  with  $\chi_D\chi(-1)(-1)^k = -1$ . We fix, once and for all, the complex period  $\Omega(f)^-$  as (98) and define

$$\theta_D^{\mathrm{alg}}(f) := (\Omega(f)^{-})^{-1} p \cdot \theta_{k,\chi,D}^{Np}(f) \in \mathcal{O}_K[[q]].$$
(101)

#### **4.** Rigid analytic ingredients

Let K be a complete discrete valuation field. The weight space  $\mathcal{W}$  attached to  $\mathcal{O}_K[\![\mathbb{Z}_p^{\times}]\!]$  is the rigid analytic variety whose  $\mathbb{C}_p$ -valued points are given by

$$\operatorname{Hom}^{\operatorname{cont}}(\mathbb{Z}_p^{\times}, \mathbb{C}_p^{\times}) \cong \operatorname{Hom}_{\mathcal{O}_K\text{-}\operatorname{alg}}^{\operatorname{cont}}(\mathcal{O}_K[\![\mathbb{Z}_p^{\times}]\!], \mathbb{C}_p).$$
(102)

For a K-Banach algebra R and an R-valued point  $k \in \mathcal{W}(R)$ , we will use a notation  $t^k$  instead of k(t) for  $t \in \mathbb{Z}_p^{\times}$ . For a K-rigid analytic variety X, we denote by A(X) the ring of rigid analytic functions on X and  $A^{\circ}(X)$  the subring consisting of elements that are power bounded with respect to the supremum semi-norm | | (see [4, Definition 6.2.1/2]). By [4, Proposition 6.2.3/1], we have  $A^{\circ}(X) = \{f \in A(X) \mid |f| \leq 1\}$ .

#### 90 4.1. Coleman families

In this subsection, we recall Coleman families given in [7] following [33]. Let K be a complete subfield of  $\mathbb{C}_p$ and  $f \in S_{k_0}(Np,\varepsilon;K)_{\alpha}$  a Hecke eigenform with  $k_0 - 1 > \alpha$ . Assume that f is (p)-new, i.e., the primitive form attached to f is a newform of level either N or Np. We denote by  $\varepsilon_p$  the restriction of  $\varepsilon$  to  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ . Then there exists an integer  $0 \le i \le p-1$  such that we have  $\varepsilon_p = \tau^{i-k_0}$ , where  $\tau : (\mathbb{Z}/p\mathbb{Z})^{\times} \hookrightarrow \mathbb{Z}_p^{\times}$  is the Teichmüller character. Let T(n) be a Hecke operator on overconvergent forms defined in [7, Lemma B5.1 and p.464] for each positive integer n. Note that T(n) coincides with the usual Hecke operator  $T_n$  on classical modular forms Let S(N,i) be the K-vector space of families of cuspidal overconvergent forms of tame level N and type i defined in [7, Section B4]. Then by [7, Theorem B3.4], there exists a sufficiently large integer m > (2-p)/(p-1)depending on  $\alpha$  such that we can obtain a certain direct summand  $S_B(N,i)_{\alpha}$  of the restriction of S(N,i) on the affinoid disc  $B = B_K[k_0, p^{-m}]$  of radius  $p^{-m}$  around  $k_0$  defined over K, which interpolates the K-vector spaces  $S_k^{cl}(\omega^{i-k}; K)_{\alpha}$  of classical cusp forms of level Np,  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ -character  $\tau^{i-k}$  and T(p)-slope  $\alpha$  with varying integral weights  $k \in B(\mathbb{Z}) := B(\mathbb{C}_p) \cap \mathbb{Z} = \{k \in \mathbb{Z} \mid k \equiv k_0 \pmod{p^m}\}$  greater than  $\alpha + 1$ . Here the classicality of overconvergent forms of small T(p)-slope is given by [6, Theorem 6.1]. (Note that  $p^{-m}$  and  $S_B(N, i)_{\alpha}$  are written as r and H in [7, the subsection "*R*-families" on the page 465], respectively.) The set of  $\mathbb{C}_p$ -valued points of B is given by

$$B(\mathbb{C}_p) = \{ s \in \mathcal{O}_{\mathbb{C}_p} \mid |k_0 - s|_p \le p^{-m} \}.$$
 (103)

The K-affinoid algebra A(B) attached to B is the K-algebra  $K \langle (X - k_0)/p^m \rangle$  of strictly convergent power series in  $(X - k_0)/p^m$  with the indeterminate X (see [4, Proposition 6.1.4/4]). By [7, Theorem B3.4], we know that

$$\dim_{K}(S_{k_{0}}^{\text{cl}}(\tau^{i-k_{0}};K)_{\alpha}) = \dim_{K}(S_{k}^{\text{cl}}(\tau^{i-k};K)_{\alpha}) =: d$$
(104)

for all k in

$$W_B := \{k \in B(\mathbb{Z}) \mid k \equiv k_0 \pmod{p-1}, k > \alpha + 1\}.$$
(105)

Then we see that  $S_B(N, i)_{\alpha}$  is a projective A(B)-module of rank d by [7, Theorem A4.5], and for any  $k \in W_B$ , we have the specialization map

$$\operatorname{sp}_k : S_B(N,i)_{\alpha} \twoheadrightarrow S_B(N,i)_{\alpha} \otimes_{A(B)} A(B) / P_k \xrightarrow{\sim} S_k^{\operatorname{cl}}(\tau^{i-k};K)_{\alpha},$$
(106)

where  $P_k := (X - k)$  is the maximal ideal of A(B). For any  $k \in W_B$ , we have  $\tau^{i-k} = \tau^{i-k_0} = \varepsilon_p$ . The (p)-new subspace  $S_B^{(p)-\text{new}}(N,i)_{\alpha}$  of  $S_B(N,i)_{\alpha}$  is defined as the intersection of kernels of all the degeneracy trace maps from level  $\Gamma_1(Np)$  to level  $\Gamma_1(N'p)$  for all positive divisors N' of N with  $N' \neq N$ . For any  $k \in W_B$ , we define the (p)-new subspace  $S_k^{(p)-\text{new}}(\tau^{i-k};K)_{\alpha}$  of  $S_k^{cl}(\tau^{i-k};K)_{\alpha}$  as well. Then, we have the canonical isomorphism

$$S_B^{(p)-\text{new}}(N,i)_{\alpha} \otimes_{A(B)} A(B)/P_k \cong S_k^{(p)-\text{new}}(\tau^{i-k};K)_{\alpha}$$
(107)

of finite dimensional K-vector spaces (see [33, Proposition 2.1]).

**Definition 4.1.** We define the subspace  $S_k^{ss}(K)$  of  $S_k^{(p)-new}(\mathbb{1}_p;K)_{\alpha}$  as the subspaces spanned by primitive forms of level Np and character  $\varepsilon$  and old forms g and  $g|V_p$  coming from primitive forms g of level N and character  $\varepsilon$  such that the characteristic polynomial of T(p) acting on the subspaces spanned by g and  $g|V_p$  has no double roots (see [33, Definition 2.2]).

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Assume that  $i \equiv k_0 \pmod{p-1}$ . By (107), we have the specialization map

$$\operatorname{sp}_{k}: S_{B}^{(p)\operatorname{-new}}(N, i)_{\alpha} \twoheadrightarrow S_{B}^{(p)\operatorname{-new}}(N, i)_{\alpha} \otimes_{A(B)} A(B)/P_{k} \xrightarrow{\sim} S_{k}^{(p)\operatorname{-new}}(\mathbb{1}_{p}; K)_{\alpha}$$
(108)

for any  $k \in W_B$ . Then we put

$$S_B^{\rm ss} := {\rm sp}_{k_0}^{-1}(S_{k_0}^{\rm ss}(K)) \subset S_B^{(p)-{\rm new}}(N,i)_{\alpha}.$$
(109)

**Definition 4.2.** Let  $\mathcal{H}_B$  be the Hecke algebra defined as the A(B)-subalgebra of  $\operatorname{End}_{A(B)}(S_B(N,i)_{\alpha})$  generated by Hecke operators T(n) with all  $n \geq 1$ . We denote by  $\mathcal{H}_B^{(p)-\operatorname{new}}$  the image of the natural homomorphism

$$\mathcal{H}_B \to \operatorname{End}_{A(B)}(S_B^{(p)-\operatorname{new}}(N,i)_{\alpha})$$
(110)

given by the restricting the Hecke action. Since the A(B)-submodule  $S_B^{ss}$  defined by (109) is stable under the action of  $\mathcal{H}_B^{(p)-\text{new}}$ , we can take the image  $\mathfrak{h}_B$  of the natural homomorphism

$$\mathcal{H}_B^{(p)\text{-new}} \to \operatorname{End}_{A(B)}(S_B^{ss}) \tag{111}$$

given by restricting the Hecke action.

Then  $\mathfrak{h}_B$  is a K-affinoid algebra which is finite over A(B). We specialize  $\mathfrak{h}_B$  at the closed point  $k_0$  of B as  $\mathfrak{h}_B \otimes_{A(B)} A(B)/P_{k_0}$  and take the image  $\mathfrak{h}_{k_0}(K)$  of the natural homomorphism

$$\mathfrak{h}_B \otimes_{A(B)} A(B)/P_{k_0} \to \operatorname{End}_K(\operatorname{sp}_{k_0}(S_B^{\operatorname{ss}})) = \operatorname{End}_K(S_{k_0}^{\operatorname{ss}}(K)).$$
(112)

Then the Hecke algebra  $\mathfrak{h}_{k_0}(K)$  is a commutative semi-simple K-algebra by the theory of newforms and old forms (see [19, Theorem 1]). By the definition of  $\mathfrak{h}_B$  and  $\mathfrak{h}_{k_0}(K)$ , we have the natural surjective A(B)-algebra homomorphism

$$\mathfrak{sp}_{k_0} : \mathfrak{h}_B \twoheadrightarrow \mathfrak{h}_{k_0}(K). \tag{113}$$

Let  $\lambda_1, \ldots, \lambda_r : \mathfrak{h}_{k_0}(K) \to K$  be the K-algebra homomorphisms which correspond to all Hekce eigenforms in  $S_{k_0}^{ss}(K)$  via the duality between classical Hecke eigenforms and K-algebra homomorphisms from a classical Hecke algebra into K (see [11, Proposition 3.21]) with some positive integer  $r \leq d$ . Let  $\mathfrak{h}_B^{red} := \mathfrak{h}_B/\sqrt{(0)}$  be the reduction of  $\mathfrak{h}_B$ . Since  $\mathfrak{h}_{k_0}(K)$  is reduced, we see that (113) factors through the surjective A(B)-algebra homomorphism  $\mathfrak{sp}_{k_0} : \mathfrak{h}_B^{red} \twoheadrightarrow \mathfrak{h}_{k_0}(K)$ .

**Theorem 4.3** ([33, Theorem 2.2]). We have the following commutative diagram of A(B)-algebras

after shrinking the disk B around the center  $k_0$  if necessary.

Let  $f \in S_{k_0}^{\text{new}}(N,\varepsilon)_{\alpha}$  be a primitive form with  $k_0 - 1 > \alpha$ . Assume that  $\alpha \neq (k_0 - 1)/2$ . Then the characteristic polynomial of T(p) acting on the subspace spanned by f and  $f|V_p$  has no double roots. We can take the root  $\alpha_p(f)$  of the polynomial satisfying  $\operatorname{ord}_p(\alpha_p(f)) = \alpha$ . The *p*-stabilization  $f^*$  of f is the eigenvector with eigenvalue  $\alpha_p(f)$  of  $T_p$  on the subspace given by

$$f^* := f - \varepsilon(p) p^{k_0 - 1} \alpha_p(f)^{-1} \cdot f | V_p.$$
(115)

The *p*-stabilization  $f^*$  is the Hecke eigenform of level Np with the same eigenvalues as f outside p and T(p)eigenvalue  $a_p(f^*) = \alpha_p(f)$ . Let K be the *p*-adic completion of the field  $\mathbb{Q}_f(\alpha_p(f))$  obtained by adjoining  $\alpha_p(f)$ to the Hecke field  $\mathbb{Q}_f$  of f. Then  $f^* \in S_{k_0}^{ss}(K)$ . Let  $\lambda_{f^*} : \mathfrak{h}_{k_0}(K) \to K$  be the K-algebra homomorphism corresponding to  $f^*$  via the duality and  $A_{f^*} : \mathfrak{h}_B^{red} \to A(B)$  the A(B)-algebra homomorphism whose specialization at  $k_0$  coincides with  $\lambda_{f^*}(\mathfrak{sp}_{k_0}(T))$  for any  $T \in \mathfrak{h}_B^{red}$ , obtained in the theorem above. For all positive integers n, we put  $a_n(\mathbf{f}) := A_{f^*}(T(n))$  for short. Then the formal power series  $\mathbf{f} = \sum_{n\geq 1} a_n(\mathbf{f})q^n \in A(B)[[q]]$  interpolates Hecke eigenforms of level Np and we have the following:

**Theorem 4.4 ([33, Corollary 2.3]).** Let  $f \in S_{k_0}^{new}(N, \varepsilon)_{\alpha}$  be a primitive form with  $k_0 - 1 > \alpha \neq (k_0 - 1)/2$ , and K a complete subfield of  $\mathbb{C}_p$  containing the p-adic completion of the Hecke field  $\mathbb{Q}_{f^*}$ . Then there exist a K-affinoid disk  $B_f = B_K[k_0, p^{-m_f}]$  with a positive integer  $m_f$  and a formal power series  $\mathbf{f} \in A^{\circ}(B_f)[[q]]$  such that for any  $k \in W_f := B_f(\mathbb{Z}) \cap W_B$  except for at most one (we call this element an exceptional weight), there exists a primitive form  $f_k \in S_k^{new}(N, \varepsilon; \mathcal{O}_K)_{\alpha}$  satisfying the following conditions:

f(k) = f<sub>k</sub><sup>\*</sup>.
 f(k<sub>0</sub>) = f<sup>\*</sup> (i.e., f<sub>k<sub>0</sub></sub> = f).
 f(k<sub>1</sub>) ∈ S<sup>new</sup><sub>k<sub>1</sub></sub>(Np,ε)<sub>α</sub> is primitive if there exists an exceptional weight k<sub>1</sub> ∈ W<sub>f</sub>

In particular, then there exists an integer  $m_0 \geq m_f$  such that for any integer  $r > m_0$ , we have

$$f_k^* \equiv f^* \pmod{p^{r-m_0}\mathcal{O}_K} \ if \ k \equiv k_0 \ (\text{mod} \ (p-1)p^r).$$
(116)

**Remark 4.5.** In order to obtain a disk  $B_f$  in the theorem above, we shrink the disk B if necessary so that the following properties hold:

- 1. Theorem 4.3 is applicable.
- 2. the coefficients  $a_n(\mathbf{f})$  of  $\mathbf{f}$  satisfy  $|a_n(\mathbf{f})| \leq 1$ , i.e.,  $\mathbf{f} \in A^{\circ}(B_f)$ .
- 3. the specializations  $\mathbf{f}(k)$  have the same character  $\varepsilon$ .

It is possible to shrink B so that we have (2) by [7, the proof of Lemma B5.3] and (3) by [5, Lemma 5.5]. Thus. <sup>125</sup> we may take a disk B' as the intersection of disks satisfying (1), (2), and (3).

We refer to **f** as a Coleman family passing through  $f^*$  as well as  $\{f_k^*\}_{k \in W_f}$  obtained in the theorem above for a primitive form f.

#### 4.2. Analytic functions and distributions

Let  $\mathcal{W}^*$  be the rigid subspace of  $\mathcal{W}$  consisting of accessible weights, i.e., weights k such that for any  $t \in \mathbb{Z}_p^{\times}$ ,  $|k(t)^{p-1} - 1| < p^{-1/(p-1)}$ . Let U be an open K-affinoid subvariety of  $\mathcal{W}^*$ . We define the universal weight  $k_U \in \operatorname{Hom}^{\operatorname{cont}}(\mathbb{Z}_p^{\times}, A^{\circ}(U)^{\times})$  by  $t^{k_U}(x) := t^x$  for all  $x \in U(K)$ . Let  $R^{\circ}$  denote one of the complete regular local Noetherian rings  $O_K$  and  $A^{\circ}(U)$ . For  $R := R^{\circ} \otimes_{\mathcal{O}_K} K$ , we let  $k_R \in \mathcal{W}^*(R)$  be an element that requires  $k_R = k_U$  if R = A(U). We denote by  $A(k_R; R^{\circ})$  the  $R^{\circ}$ -module consisting of functions  $f : \mathbb{Z}_p \times \mathbb{Z}_p^{\times} \to R^{\circ}$  such that for all  $t \in \mathbb{Z}_p^{\times}$  and  $(x, y) \in \mathbb{Z}_p \times \mathbb{Z}_p^{\times}$ , we have  $f(tx, ty) = t^{k_R} f(x, y)$  and  $f(z, 1) \in R^{\circ}\langle z \rangle$ . We denote by  $A(k_R; R^{\circ})$  the  $R^{\circ}[\Gamma_0(Np)]$ -module  $A(k_R; R^{\circ})$  equipped with the  $\varepsilon$ -twisted action; we let  $\gamma \in \Gamma_0(Np)$  act on  $f \in A(k_R; R^{\circ})$  by

$$(\gamma \cdot f)(x,y) = \varepsilon(\gamma)f((x,y)^t\gamma), \tag{117}$$

where  $\varepsilon(\gamma)$  is the value of  $\varepsilon$  on the lower right entry of  $\gamma$  and we assume that the restriction of  $k_U$  and  $\varepsilon$  to  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  coincide. We set

$$D(k_R,\varepsilon;R^\circ) := \operatorname{Hom}_{R^\circ}^{\operatorname{cont}}(A(k_R,\varepsilon;R^\circ),R^\circ).$$
(118)

and endow  $D(k_R, \varepsilon; R^\circ)$  with  $\Gamma_0(Np)$ -action by

$$(\mu|\gamma)(f) := \mu(\gamma \cdot f) \tag{119}$$

for  $f \in A(k_R, \varepsilon; R^\circ)$ . Now we have natural specialization maps

$$A(k_U,\varepsilon;A^{\circ}(U)) \to A(k,\varepsilon;\mathcal{O}_K); f \mapsto f_k,$$
(120)

$$\eta_k : D(k_U, \varepsilon; A^{\circ}(U)) \to D(k, \varepsilon; \mathcal{O}_K); \mu \mapsto \mu_k, \tag{121}$$

where  $f_k(x,y) := f(x,y)(k)$  and  $\mu_k(f) := \mu(f_U)(k)$  with  $f_U(x,y) := y^{k_U} f(x/y,1)$  for  $f \in A(k,\varepsilon;\mathcal{O}_K)$ . Let  $t_k$  be an element of  $A^{\circ}(U)$  which vanishes with order 1 at k and nowhere else. Then we have canonical exact sequences of  $A^{\circ}(U)[\Gamma_0(Np)]$ -modules

$$0 \to A(k_U, \varepsilon; A^{\circ}(U)) \xrightarrow{t_k} A(k_U, \varepsilon; A^{\circ}(U)) \to A(k, \varepsilon; \mathcal{O}_K) \to 0,$$
(122)

$$0 \to D(k_U, \varepsilon; A^{\circ}(U)) \xrightarrow{\iota_k} D(k_U, \varepsilon; A^{\circ}(U)) \xrightarrow{\eta_k} D(k, \varepsilon; \mathcal{O}_K) \to 0$$
(123)

(see [1, Proposition 3.11]). Identifying  $L(k,\varepsilon;\mathcal{O}_K) = \langle X^k, X^{k-1}Y, \ldots, Y^k \rangle$  with the  $\mathcal{O}_K[\Gamma_0(Np)]$ -submodule  $\mathcal{P}(k,\varepsilon;\mathcal{O}_K) := \langle y^k, y^{k-1}x, \ldots, x^k \rangle$  of  $A(k,\varepsilon;\mathcal{O}_K)$ , and dualizing  $\mathcal{P}(k,\varepsilon;\mathcal{O}_K) \subset A(k,\varepsilon;\mathcal{O}_K)$  give a  $K[\Gamma_0(Np)]$ -homomorphism

$$\rho_k: D(k,\varepsilon;\mathcal{O}_K) \twoheadrightarrow L(k,\varepsilon;\mathcal{O}_K); \mu \mapsto \sum_{i=0}^k \mu(y^{k-i}x^i)X^{k-i}Y^i = \int_{\mathbb{Z}_p \times \mathbb{Z}_p^\times} (yX - xY)^k d\mu(x,y).$$
(124)

We define the  $A^{\circ}(U)[\Gamma_0(Np)]$ -homomorphism  $\phi_k^{\circ}$  as

$$\phi_k^{\circ} : D(k_U, \varepsilon; A^{\circ}(\Omega)) \xrightarrow{\eta_k} D(k, \varepsilon; \mathcal{O}_K) \xrightarrow{\rho_k} L(k, \varepsilon; \mathcal{O}_K).$$
(125)

We set  $A(k_R, \varepsilon; R) := A(k_R, \varepsilon; R^\circ) \hat{\otimes}_{\mathcal{O}_K} K$  and  $D(k_R, \varepsilon; R) := D(k_R, \varepsilon; R^\circ) \hat{\otimes}_{\mathcal{O}_K} K$ . Finally, we define the  $A(U)[\Gamma_0(Np)]$ -homomorphism  $\phi_k$  by

$$\phi_k := \phi_k^{\circ} \hat{\otimes}_{\mathcal{O}_K} K : D(k_U, \varepsilon; A(U)) \twoheadrightarrow L(k, \varepsilon; K),$$
(126)

4.3. Slope  $\leq h$  decompositon

**Definition 4.6 ([2, Definition 4.1.1, 4.6.3 and 4.6.1 and Lemma 4.6.4]).** Let  $K \subset \mathbb{C}_p$  be a complete subfield, A a commutative Noetherian K-Banach algebra with norm  $|\cdot|_A$ ,  $A^{\mathrm{m}}$  the group of multiplicative units in A with respect to  $|\cdot|_A$ , and H an A-module with  $u \in \operatorname{End}_A(H)$ . For a polynomial  $Q \in A[T]$ , we denote by

$$Q^*(T) := T^{\deg(Q)}Q(1/T).$$
(127)

- Let  $h \in \mathbb{Q}$  and  $A[T]_{\leq h}$  the set of polynomials  $Q \in A[T]$  such that  $Q^*(0) \in A^m$  and the slopes of Q are less than or equal to h (see [2] for the definition of slopes of a power series). A slope  $\leq h$  decomposition of H with respect to u is an A[u]-module decomposition  $H = H_{\leq h} \oplus H_{>h}$  such that
  - 1.  $H_{\leq h} = \bigcup_{Q \in A[T]_{\leq h}} \operatorname{Ker} Q^*(u)$  is finitely generated as an A-module
  - 2.  $Q^*(u)|_{H_{>h}} \in \operatorname{Aut}_A(H_{>h})$  for any  $Q \in A[T]_{\leq h}$ .

# 135 Theorem 4.7. Let $h \in \mathbb{Q}_{\geq 0}$ .

- 1. For any  $\kappa \in \mathcal{W}(K)$ , there exists an open K-affinoid subvariety U in  $\mathcal{W}$  containing  $\kappa$  such that an A(U)module  $\operatorname{Symb}_{\Gamma_0(Np)}(D(k_U, \varepsilon; A(U)))^{\pm}$  admits a slope  $\leq h$  decomposition with respect to the Hecke operator  $T_p$ .
- 2. The following control theorem holds:

$$\operatorname{Symb}_{\Gamma_0(Np)}(D(k_U,\varepsilon;A(U)))_{\leq h}^{\pm} \otimes_{A(U)} A(U)/P_k \cong \operatorname{Symb}_{\Gamma_0(Np)}(D(k,\varepsilon;K))_{\leq h}^{\pm},$$
(128)

where  $P_k$  is the maximal ideal of A(U) generated by  $t_k$ .

3. If k + 1 > h, the epimorphism  $\rho_k$  (124) induces the  $K[\Gamma_0(Np)]$ -isomorphism

$$\operatorname{Symb}_{\Gamma_0(Np)}(D(k,\varepsilon;K))_{\leq h}^{\pm} \xrightarrow{\sim} \operatorname{Symb}_{\Gamma_0(Np)}(L(k,\varepsilon;K))_{\leq h}^{\pm}.$$
(129)

<sup>140</sup> **Remark 4.8.** The theorem above was quoted in [23] without proof (see [23, Theorem 4.6] for (1) and [23, Theorem 4.12] for (2) and (3)). For more details, we refer to [2] and [1, Section 3]. In addition, [24] is useful especially for the comparison theorem (3).

## 5. p-Adic interpolation of the D-th Shintani lifting

Let  $f \in S_{k_0+2}^{\text{new}}(N,\varepsilon)_{\alpha}$  be a primitive form with  $k_0 + 1 > \alpha \neq (k_0 + 1)/2$ , and K the p-adic completion of the field obtained by adjoining  $\chi(-1)^{1/2}|D|^{1/2}G(\chi_0^{-1})$  and the values of  $\chi$  to the Hecke field  $\mathbb{Q}_{f^*}$ . By Theorem 4.4, there exists a K-affinoid disk  $B_f$  around  $k_0 + 2$  and a Coleman family  $\mathbf{f} \in A^{\circ}(B_f)[[q]]$  passing through  $f^*$ . By Theorem 4.7.(1), there exists an open K-affinoid subvariety U in  $\mathcal{W}^*$  containing  $(k_0 + 2, \mathbb{1}_p)$  such that an A(U)-module Symb<sub> $\Gamma_0(Np)$ </sub>  $(D(k_U, \varepsilon; A(U)))^{\pm}$  admits a slope  $\leq \alpha$  decomposition with respect to the Hecke operator  $T_p$ .

#### 150 5.1. Overconvergent Hecke eigensymbols

**Lemma 5.1.** Let K be a complete subfield of  $\mathbb{C}_p$ . Let  $k, n \in \mathcal{O}_K$  and  $m \in \mathbb{Q}_{\geq 0}$ . Then,

$$\sigma_n^+ : K \left\langle (X-k)/p^m \right\rangle \xrightarrow{\sim} K \left\langle (X-(k+n))/p^m \right\rangle ; X \mapsto X-n \tag{130}$$

is an isometric K-algebra isomorphism with respect to the supremum semi-norm. In particular, the pair of  $\sigma_n^+$ and

$${}^{a}\sigma_{n}^{+}: B_{K}[k+n, p^{-m}] \xrightarrow{\sim} B_{K}[k, p^{-m}]; \mathfrak{m} \mapsto (\sigma_{n}^{+})^{-1}(\mathfrak{m})$$
 (131)

gives an isomorphism as K-affinoid varieties.

PROOF. We put  $T_2 := K\langle X, Y \rangle$  for short. Let  $\phi$  be the K-algebra endomorphism of  $T_2$  defined by  $\phi(X) = X - n$ and  $\phi(Y) = Y$  (see [4, Corollary 5.1.3/5]). Since the endomorphism defined by  $X \mapsto X + n$  and  $Y \mapsto Y$  gives the inverse of  $\phi$ , we see that  $\phi \in \operatorname{Aut}_{K-\operatorname{alg}}(T_2)$ . Write  $\mathfrak{a}$  for the principal ideal of  $T_2$  generated by  $X - k - p^m Y$ , and hence  $\phi(\mathfrak{a}) = (X - (k+n) - p^m Y)$ . Then the natural projection  $T_2 \twoheadrightarrow T_2/\phi(\mathfrak{a})$  composed with  $\phi$  induces the K-algebra isomorphism  $\sigma_n^+$  by [4, Proposition 6.1.4/4]. Since  $\sigma_n^+$  is an integral monomorphism, it is isometric by [4, Proposition 6.2.2/1].

We put

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$$B_{\sigma} = B_K[k_0, p^{-m}] := {}^a\sigma_2^+(B_f) \cap U, \ B := B_K[k_0 + 2, p^{-m}], \tag{132}$$

$$W_{B,\sigma} := \{ k \in B_{\sigma}(\mathbb{Z}) \mid k \equiv k_0 \pmod{p-1}, \ k+1 > \alpha \}.$$
(133)

We denote by  $\sigma := \sigma_2^+ : A(B_{\sigma}) \to A(B)$  the K-algebra isomorphism given by the lemma above. We let  $S_{B,\sigma}^{(p)-\text{new}}(N,i)_{\alpha}$  denote  $S_B^{(p)-\text{new}}(N,i)_{\alpha}$  viewed as an  $A(B_{\sigma})$ -module via  $\sigma$  and  $S_{B,\sigma}^{\text{ss}}$  denote  $S_B^{\text{ss}}$  viewed as an  $A(B_{\sigma})$ -submodule of  $S_{B,\sigma}^{(p)-\text{new}}(N,i)_{\alpha}$ . By (108), we have

$$sp_{k,\sigma}: S_{B,\sigma}^{(p)-new}(N,i)_{\alpha} \twoheadrightarrow S_{B,\sigma}^{(p)-new}(N,i)_{\alpha} \otimes_{A(B_{\sigma})} A(B_{\sigma})/P_{k} \xrightarrow{\sim} S_{B,\sigma}^{(p)-new}(N,i)_{\alpha} \otimes_{A(B)} A(B)_{\sigma}/P_{k+2} \xrightarrow{\sim} S_{k+2}^{(p)-new}(\mathbb{1}_{p};K)_{\alpha}$$
(134)

for any  $k \in W_{B,\sigma}$ . Let  $\{\mathbf{f}_1, \ldots, \mathbf{f}_r\}$  be a basis of  $S_{B,\sigma}^{ss}$  consisting of *Hecke eigenforms* given by

$$\mathbf{f}_i := \sum_{n \ge 1} A_i(T(n))q^n \tag{135}$$

for the A(B)-algebra homomorphisms  $A_i : \mathfrak{h}_B^{\mathrm{red}} \to A(B)$  obtained in Theorem 4.3. We may assume that  $\mathbf{f}_i \in A^{\circ}(B)$  after shrinking B if necessary (Remark 4.5). For any  $k \in W_{B,\sigma}$ , we put

$$S_{B,\sigma}^{\mathrm{ss},\circ} := \bigoplus_{i=1}^{r} A^{\circ}(B)_{\sigma} \mathbf{f}_{i}, \quad S_{k+2}^{\mathrm{ss}}(\mathcal{O}_{K}) := \bigoplus_{i=1}^{r} \mathcal{O}_{K} \mathrm{sp}_{k,\sigma}(\mathbf{f}_{i}), \tag{136}$$

where  $A^{\circ}(B)_{\sigma}$  denote the admissible  $\mathcal{O}_{K}$ -algebra  $A^{\circ}(B)$  viewed as an  $A^{\circ}(B_{\sigma})$ -algebra via  $\sigma : A^{\circ}(B_{\sigma}) \to A^{\circ}(B)$ . On the other hand, by Theorem 4.7, for any  $k \in W_{B,\sigma}$ , the surjective  $A(B_{\sigma})[\Gamma_{0}(Np)]$ -homomorphism  $\phi_{k}$  (126) induces the surjective Hecke equivariant  $A(B_{\sigma})$ -homomorphism  $\phi_{k}^{*}$ 

$$\phi_k^* : \operatorname{Symb}_{\Gamma_0(Np)}(D(k_{B_{\sigma}},\varepsilon;A(B_{\sigma})))_{\leq \alpha}^{-} \twoheadrightarrow \operatorname{Symb}_{\Gamma_0(Np)}(D(k_{B_{\sigma}},\varepsilon;A(B_{\sigma})))_{\leq \alpha}^{-} \otimes_{A(B_{\sigma})} A(B_{\sigma})/P_k$$
$$\xrightarrow{\sim} \operatorname{Symb}_{\Gamma_0(Np)}(D(k,\varepsilon;K))_{\leq \alpha}^{-} \xrightarrow{\sim} \operatorname{Symb}_{\Gamma_0(Np)}(L(k,\varepsilon;K))_{\leq \alpha}^{-}.$$
(137)

By (123), we see that  $\phi_k^*$  preserves the integral structure:

$$\phi_k^* : \operatorname{Symb}_{\Gamma_0(Np)}(D(k_{B_\sigma},\varepsilon;A^{\circ}(B_\sigma)))_{\leq \alpha}^{-} \twoheadrightarrow \operatorname{Symb}_{\Gamma_0(Np)}(L(k,\varepsilon;\mathcal{O}_K))_{\leq \alpha}^{-}.$$
(138)

Since  $S_{k_0+2}^{ss}(\mathcal{O}_K)$  is spanned by Hecke eigenforms g of level Np, the  $\mathcal{O}_K$ -linear extension of the map  $g \mapsto \Delta_g^-$  gives the injective Hecke equivariant  $\mathcal{O}_K$ -homomorphism

$$\xi_{k_0} : S^{\mathrm{ss}}_{k_0+2}(\mathcal{O}_K) \hookrightarrow \mathrm{Symb}_{\Gamma_0(Np)}(L(k_0,\varepsilon;\mathcal{O}_K))^-_{\leq \alpha}.$$
(139)

We put

$$\operatorname{Symb}_{k_0}^{\operatorname{ss}}(\mathcal{O}_K) := \xi_{k_0}(S_{k_0+2}^{\operatorname{ss}}(\mathcal{O}_K)), \quad \operatorname{Symb}_{B_{\sigma}}^{\operatorname{ss},\circ} := (\phi_{k_0}^*)^{-1}(\operatorname{Symb}_{k_0}^{\operatorname{ss}}(\mathcal{O}_K)).$$
(140)

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Let  $\mathfrak{h}_{B,\sigma}^{\mathrm{red}}$  denote  $\mathfrak{h}_{B}^{\mathrm{red}}$  viewed as an  $A^{\circ}(B_{\sigma})$ -algebra via  $\sigma : A^{\circ}(B_{\sigma}) \to A^{\circ}(B)$ . Let  $\mathfrak{h}_{B,\sigma}^{\mathrm{red},\circ}$  be the  $A^{\circ}(B)_{\sigma}$ subalgebra of  $\mathfrak{h}_{B,\sigma}^{\mathrm{red}}$  generated by the Hecke eigensystems corresponding to the basis  $\{\mathbf{f}_1,\ldots,\mathbf{f}_r\}$  of  $S_{B,\sigma}^{\mathrm{ss}}$  and  $\mathfrak{h}_{k_0+2}(\mathcal{O}_K)$  the  $\mathcal{O}_K$ -subalgebra of  $\mathfrak{h}_{k_0+2}(K)$  generated by the Hecke eigensystems corresponding to the basis  $\{\mathrm{sp}_{k,\sigma}(\mathbf{f}_1),\ldots,\mathrm{sp}_{k,\sigma}(\mathbf{f}_r)\}$  of  $S_{k+2}^{\mathrm{ss}}(\mathcal{O}_K)$ . Then  $\mathrm{Symb}_{k_0}^{\mathrm{ss}}(\mathcal{O}_K)$  (resp.  $\mathrm{Symb}_{B_{\sigma}}^{\mathrm{ss},\circ}$ ) is a module over  $\mathfrak{h}_{k_0+2}(\mathcal{O}_K)$  (resp.  $\mathfrak{h}_{B,\sigma}^{\mathrm{red},\circ}$ ) via the homomorphisms which send  $T(\ell)$  to the usual Hecke operator  $T_{\ell}$ .

**Proposition 5.2.** There exists a  $\mathfrak{h}_{B,\sigma}^{\mathrm{red},\circ}$ -isomorphism  $\Xi: S_{B,\sigma}^{\mathrm{ss},\circ} \xrightarrow{\sim} \mathrm{Symb}_{B_{\sigma}}^{\mathrm{ss},\circ}$  such that the following diagram commutes:

after shrinking the disk  $B_{\sigma}$  around the center  $k_0$  if necessary.

PROOF. We put  $A := A^{\circ}(B_{\sigma}), \mathfrak{h} := \mathfrak{h}_{B,\sigma}^{\mathrm{red},\circ}, S := S_{B,\sigma}^{\mathrm{ss},\circ}, \text{ and Symb} := \mathrm{Symb}_{B_{\sigma}}^{\mathrm{ss},\circ}$  for short. Let  $t_{k_0}$  be a generator of the maximal ideal  $P_{k_0}$  of A at the closed point  $k_0$ . Since  $\xi_{k_0}$  gives the isomorphism  $S/t_{k_0}S \xrightarrow{\sim} \mathrm{Symb}/t_{k_0} \mathrm{Symb}$ , it suffices to prove that there exists a  $\mathfrak{h}$ -isomorphism  $\Xi : S \xrightarrow{\sim} \mathrm{Symb}$  such that the following diagram commutes:

after shrinking the disk  $B_{\sigma}$  around the center  $k_0$  if necessary. Let  $\mathfrak{h}_{(k_0)} := \mathfrak{h} \otimes_A A_{P_{k_0}}$  be the localization of  $\mathfrak{h}$  at  $P_{k_0}$ . Since  $\mathfrak{h}_{(k_0)}$  is Noetherian and not Artinian, we see that the Krull dimension of  $\mathfrak{h}$  is 1 by Krull's principal ideal theorem (see [18, Theorem 13.5]). By [18, Theorem 2.3], the embedding dimension of  $\mathfrak{h}$  is 1, and hence  $\mathfrak{h}$  is a regular local ring of Krull dimension 1. By [18, Theorem 19.2], the global dimension of  $\mathfrak{h}$  is 1, which implies Symb has a finite injective dimension less than or equal to 1 by [18, Lemma 2, Section 19]. Let  $S_{(k_0)} := S \otimes_{\mathfrak{h}} \mathfrak{h}_{(k_0)}$  and  $\operatorname{Symb}_{(k_0)} := \operatorname{Symb} \otimes_{\mathfrak{h}} \mathfrak{h}_{(k_0)}$  be the localizations at  $P_{k_0}$ . Let  $t_{(k_0)}$  be the image of  $t_{k_0}$  in  $\mathfrak{h}_{(k_0)}$ , and hence  $t_{(k_0)}$  belongs to the annihilator of  $\mathfrak{h}_{(k_0)}/P_{k_0}\mathfrak{h}_{(k_0)}$ . Since  $\mathfrak{h}_{(k_0)}$  is A-torsion-free and A is an integral domain, we see that  $t_{(k_0)}$  is  $\mathfrak{h}_{(k_0)}$ -regular,  $S_{(k_0)}$ -regular, and  $\operatorname{Symb}_{(k_0)}$ -regular. By [18, Lemma 2, Section 18], we see that both  $S_{(k_0)}$  and  $\operatorname{Symb}_{(k_0)}$  are maximal Cohen-Macaulay modules. By [8, Proposition 21.13], there exists a  $\mathfrak{h}_{(k_0)}$ -isomorphism  $\Xi_{(k_0)} : S_{(k_0)} \xrightarrow{\sim} \operatorname{Symb}_{(k_0)}$  such that the following diagram commutes:

Therefore we obtain the desired commutative diagram after shrinking the disk  $B_{\sigma}$  around the center  $k_0$  if necessary.

By the proposition above, we have the stronger result than [23, Theorem 4.13] in that we can take an error term (denoted by  $\Omega_{\kappa}$  in [23]) of the *p*-adic interpolation as a *p*-adic unit  $u_k$  as follows:

**Theorem 5.3.** Let  $f \in S_{k_0+2}^{\text{new}}(N,\varepsilon)_{\alpha}$  be a primitive form with  $k_0 + 1 > \alpha \neq (k_0 + 1)/2$ , K a complete subfield of  $\mathbb{C}_p$  containing the p-adic completion of the Hecke field  $\mathbb{Q}_{f^*}$ , and  $\mathbf{f}$  a Coleman family passing through  $f^*$ . Then there exist a K-affinoid disk  $B = B_K[k_0, p^{-m}]$  with some positive integer m and a Hecke eigenvector  $\Phi_{\mathbf{f}} \in \text{Symb}_{B_{\sigma}}^{\text{ss},\circ}$  with the same eigenvalues as  $\mathbf{f}$  such that for any  $k \in W_{B,\sigma}$ , there exists  $u_k \in \mathcal{O}_K^{\times}$  such that we have the following:

1.  $\phi_k^*(\Phi_\mathbf{f}) = u_k \Delta_{\mathbf{f}(k+2)}^-$ .

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2.  $\phi_{k_0}^*(\Phi_{\mathbf{f}}) = \Delta_{f^*}^-$  (*i.e.*,  $u_{k_0} = 1$ ).

PROOF. The Hecke equivariant isomorphism  $\Xi$  as Proposition 5.2 induces a Hecke equivariant  $\mathcal{O}_K$ -isomorphism  $\Xi_k$  as follows:



We put  $\Phi_{\mathbf{f}} := \Xi(\mathbf{f})$ . Then we see that  $\phi_k^*(\Phi_{\mathbf{f}}) = \Xi_k(\mathbf{f}(k+2))$  is a generator of  $\lambda_{\mathbf{f}(k+2)}$ -eigenmodule

$$\operatorname{Symb}_{\Gamma_0(Np)}(L(k,\varepsilon;\mathcal{O}_K))^{-}[\lambda_{\mathbf{f}(k+2)}].$$
(145)

<sup>175</sup> By [13, Proposition 3.3], the  $\lambda_{\mathbf{f}(k+2)}$ -eigenmodule is generated by  $\Delta_{\mathbf{f}(k+2)}$  over  $\mathcal{O}_K$ . We thus the first assertion and the second assertion follows from  $\Xi_{k_0} = \xi_{k_0}$ .

We refer to  $\Phi_{\mathbf{f}}$  obtained in the theorem above as a *Hecke eigensymbol* attached to a Coleman family  $\mathbf{f}$ .

## 5.2. A p-adic analytic family of the D-th Shintani lifting for a Coleman family

Hereafter, we assume that  $k_0$  is even and  $\varepsilon = \chi^2$  with a Dirichlet character  $\chi$  modulo N. We replace the notation  $k_0$  by  $2k_0$  so that we remark that the set  $W_{B,\sigma}$  defined by (133) is replaced as follows:

$$W_{B,\sigma} = \{k \in \mathbb{Z} \mid k \equiv 2k_0 \pmod{(p-1)p^m}, k+1 > \alpha\}.$$
(146)

We consider the family of  $\theta_D^{\text{alg}}(\mathbf{f}(2k+2))$ 's for  $2k \in W_{B,\sigma}$ . Let n be a positive integer with  $\chi(-1)(-1)^{k+1}n \equiv 0, 1 \pmod{4}$ . We define the n-th coefficient of a formal power series that interpolates the family of the D-th Shintani lifting below. Let t be a positive divisor of  $N/c_{\chi}$  and  $Q \in \mathcal{L}_{tc_{\chi}Np}(\Delta_{n,t})$ . Assume that  $\operatorname{ord}_p(n) \leq 1$ . Then we have the following:

**Lemma 5.4.** Let c be the integer given by [a, b, c] = Q. Then we have  $p \nmid c$ . In particular, for any  $(x, y) \in \mathbb{Z}_p^{\times}$ , we have  $Q(x, y) \in \mathbb{Z}_p^{\times}$ .

PROOF. We put  $\Delta := \Delta_{n,t}$  for short. By (39), there exist a positive integer l with  $l^2 \mid \Delta$ , a integer  $\varrho \in S_{Np}(\Delta/l^2)$ , and  $m \parallel m(l,\varrho) := (Np,\varrho,(\varrho^2 - \Delta/l^2)/4Np)$  such that  $Q \in l \cdot \mathcal{L}^0_{Np,\varrho,m,m(l,\varrho)/m}(\Delta/l^2)$ . Since  $\Delta \neq 0 \pmod{p^2}$  from  $\operatorname{ord}_p(n) \leq 1$ , we have  $p \nmid l$ . If  $p \mid m(l,\varrho)$ , then we have  $p \mid \varrho$  and  $\varrho^2 \equiv \Delta/l^2 \pmod{p^2}$ , and hence  $\Delta/l^2 \equiv 0 \pmod{p^2}$ . This is a contradiction to  $\Delta \neq 0 \pmod{p^2}$ . Thus we have  $p \nmid m(l,\varrho)$ , and hence  $p \nmid c$ .

By the lemma above, we see that  $Q(x,y)^{k_{B_{\sigma}}}$  is well-defined analytic function on  $\mathbb{Z}_p \times \mathbb{Z}_p^{\times}$ . We define  $J_Q \in \operatorname{Hom}_{A^{\circ}(B_{\sigma})}(D(k_{B_{\sigma}},\chi^2;A^{\circ}(B_{\sigma})),A^{\circ}(B_{\sigma}))$  by

$$J_Q(\mu) := \int_{\mathbb{Z}_p \times \mathbb{Z}_p^\times} Q(x, y)^{k_{B_\sigma}} d\mu(x, y)$$
(147)

Then we have the following:

**Lemma 5.5.** For any  $2k \in W_{B,\sigma}$  and  $\mu \in D(k_{B_{\sigma}}, \chi^2; A^{\circ}(B_{\sigma}))$ , we have

$$J_Q(\mu)(2k) = [\phi_{2k}(\mu), Q^k(X, Y)].$$
(148)

In particular, by Theorem 5.3, we have

$$\chi_0(Q)J_Q(\Phi_{\mathbf{f}}(\partial C_Q))(2k) = u_{2k}(\Omega(\mathbf{f}(2k+2))^{-})^{-1}I_{k,\chi}(\mathbf{f}(2k+2),Q)$$
(149)

Proof.

$$\begin{split} J_Q(\mu)(2k) &= \int_{\mathbb{Z}_p \times \mathbb{Z}_p^{\times}} Q(x, y)^k d\mu_{2k}(x, y) \\ &= \int_{\mathbb{Z}_p \times \mathbb{Z}_p^{\times}} \left[ (yX - xY)^{2k}, Q^k(X, Y) \right] d\mu_{2k}(x, y) \\ &= \left[ \int_{\mathbb{Z}_p \times \mathbb{Z}_p^{\times}} (yX - xY)^{2k} d\mu_{2k}(x, y), Q^k(X, Y) \right] = [\phi_{2k}(\mu), Q^k(X, Y)]. \end{split}$$

**Definition 5.6.** Let D be a fundamental discriminant with  $\chi(-1)(-1)^{k_0+1}D > 0$  and (D, Np) = 1, and  $\Phi_{\mathbf{f}} \in \operatorname{Symb}_{B_{\sigma}}^{ss}$  a Hecke eigensymbol attached to  $\mathbf{f}$ . Let n be a positive integer with  $\chi(-1)(-1)^{k+1}n \equiv 0, 1 \pmod{4}$  and  $\operatorname{ord}_p(n) \leq 1$ , t a positive divisor of  $N/c_{\chi}$ , and  $Q \in \mathcal{L}_{tc_{\chi}Np}(\Delta_{n,t})$ . We set

$$J_{B_{\sigma}}(Q) := \chi_0(Q) J_Q(\Phi_{\mathbf{f}}(\partial C_Q)) \in A^{\circ}(B_{\sigma}).$$
(150)

We put

$$a_{n}(\theta_{B_{\sigma},D}(\mathbf{f})) := \sum_{t \mid c_{\chi}^{-1}N} \mu \chi_{D} \chi_{0}^{-1}(t) t^{-k_{B_{\sigma}}-1} \sum_{Q \in \mathcal{L}_{tc_{\chi}Np}(\Delta_{n,t})/\Gamma_{0}(Np)} \omega_{D}(Q) J_{B_{\sigma}}(Q).$$
(151)

Let m be a positive integer and v a non-negative integer such that  $0 \leq \operatorname{ord}_p(m/p^{2v}) \leq 1$ . We put

$$a_m(\theta_{B_\sigma,D}(\mathbf{f})) := a_p(\mathbf{f})^v a_{m/p^{2v}}(\theta_{B_\sigma,D}(\mathbf{f}))$$
(152)

if  $\chi(-1)(-1)^{k+1}m \equiv 0, 1 \pmod{4}$  and  $a_m(\theta_{B_{\sigma},D}(\mathbf{f})) := 0$  otherwise. For  $i \in \mathbb{Z}/4\mathbb{Z}$ , we define the *n*-th coefficient of  $\theta_{B_{\sigma},D}^i(\mathbf{f}) \in A^{\circ}(B_{\sigma})[[q]]$  by

$$a_n(\theta^i_{B_{\sigma},D}(\mathbf{f})) := \left(1 - p^{-1}\right) c^i_{B_{\sigma},D} \cdot a_n(\theta_{B_{\sigma},D}(\mathbf{f})), \tag{153}$$

where

$$c_{B_{\sigma},D}^{i} := (-1)^{[(i+1)/2]} \chi_{D}(c_{\chi}) \chi(-1)^{1/2} \chi^{-1}(D) 2^{k_{B_{\sigma}}+1} c_{\chi}^{k_{B_{\sigma}}} G(\chi_{0}^{-1}).$$
(154)

<sup>190</sup> We then have the main theorem as follows:

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**Theorem 5.7.** Let  $f \in S_{2k_0+2}^{\text{new}}(N, \chi^2)_{\alpha}$  be a primitive form with  $2k_0 + 1 > \alpha \neq (2k_0 + 1)/2$  and  $c_{\chi} \parallel N$ , K the *p*-adic completion of the field obtained by adjoining  $\chi(-1)^{1/2}|D|^{1/2}G(\chi_0^{-1})$  and the values of  $\chi$  to the Hecke field  $\mathbb{Q}_{f^*}$ , D a fundamental discriminant with  $\chi(-1)(-1)^{k_0+1}D > 0$  and (D, Np) = 1. Then there exists a positive integer  $m_0$  such that for any  $r > m_0 + 1$ , if an integer k satisfies  $2k + 1 > \alpha$  and  $2k \equiv 2k_0 \pmod{(p-1)p^r}$ , then there exist a primitive form  $f_{2k+2} \in S_{2k+2}^{\text{new}}(N, \chi^2; \mathcal{O}_K)_{\alpha}$  such that

$$e_k \theta_D^{\mathrm{alg}}(f_{2k+2}^*) \equiv \theta_D^{\mathrm{alg}}(f^*) \pmod{p^{r-m_0}\mathcal{O}_K}$$
(155)

for some  $e_k \in \mathcal{O}_K^{\times}$  and  $f_{2k+2}^*$  lies in a Coleman family passing through  $f^*$ .

PROOF. By Theorem 5.3, we have  $\Phi_{\mathbf{f}} \in \operatorname{Symb}_{B_{\sigma}}^{\operatorname{ss},\circ}$  such that for any  $2k \in W_{B,\sigma}$ , there exists  $u_{2k} \in \mathcal{O}_{K}^{\times}$  such that  $\phi_{2k}^{*}(\Phi_{\mathbf{f}}) = u_{2k}\Delta_{\mathbf{f}(2k+2)}$  and  $u_{2k_{0}} = 1$ . Recall that  $\mathbf{f}(2k+2) = f_{2k+2}^{*}$  for a primitive form  $f_{2k+2} \in S_{2k+2}^{\operatorname{new}}(N, \chi^{2}; \mathcal{O}_{K})_{\alpha}$  by Theorem 4.4. Set  $e_{k} := (-1)^{[(k_{0}+1)/2]}(-1)^{[(k+1)/2]}u_{2k}$  By Theorem 3.3 and Lemma 5.5, we see that  $p \cdot \theta_{B_{\sigma},D}^{k_{0}}(\mathbf{f}) \in A^{\circ}(B_{\sigma})$  has the specialization  $\theta_{B_{\sigma},D}^{k_{0}}(\mathbf{f})(2k) = e_{k}\theta_{D}^{\operatorname{alg}}(f_{2k+2}^{*}) \in S_{k+3/2}^{+}(4Np, \tilde{\chi}; \mathcal{O}_{K}).$ 

**Remark 5.8.** The *p*-adic interpolation of the classical Shintani lifting has already been done by Stevens [29] and Park [23] for a Hida family and a Coleman family, respectively. Roughly speking, Park proved that for all  $n \ge 1$ ,

$$\left|\Omega_k \cdot a_n(\theta_1^{\text{alg}}(f_{2k+2}^*)) - a_n(\theta_1^{\text{alg}}(f^*))\right|_p < 1$$
(156)

for some  $\Omega_k \in K^{\times}$  in [23]. The significant difference between their results and our result above is that we can take the error term  $e_k$  of the *p*-adic interpolation as a *p*-adic unit, and hence the congruence makes sense. Indeed, on the congruence (155), we see that  $a_n(\theta_D^{\text{alg}}(f_{2k+2}^*))$  vanishes modulo *p* if and only if  $a_n(\theta_D^{\text{alg}}(f^*))$  vanishes modulo *p*. However, even if we assume  $\Omega_k \in \mathcal{O}_K$  on (156), the congruence

$$\Omega_k \cdot a_n(\theta_1^{\text{alg}}(f_{2k+2}^*)) \equiv a_n(\theta_1^{\text{alg}}(f^*)) \pmod{p^{r-m_0}\mathcal{O}_K}$$
(157)

cannot tell us that  $\theta_1^{\text{alg}}(f_{2k+2}^*)$  vanish modulo p if  $\theta_1^{\text{alg}}(f^*)$  vanish modulo p unless  $\Omega_k$  is a p-adic unit.

We keep the notation as in the theorem above. Since  $f_{2k+2} \otimes \chi_D \chi_0^{-1}$  and  $f_{2k+2}^* \otimes \chi_D \chi_0^{-1}$  are Hecke eigenforms of trivial character ([20, Lemma 4.3.10]), we have

$$L\left(k+1, f_{2k+2}^* \otimes \chi_D \chi_0^{-1}\right) = \left(1 - \chi_D \chi^{-1}(p) p^k a_p (f_{2k+2}^*)^{-1}\right) L\left(k+1, f_{2k+2} \otimes \chi_D \chi_0^{-1}\right)$$
(158)

by [20, Theorem 4.5.16]. We put

$$L^{\text{alg}}\left(k+1, f_{2k+2}^* \otimes \chi_D \chi_0^{-1}\right) := \frac{k! L\left(k+1, f_{2k+2}^* \otimes \chi_D \chi_0^{-1}\right)}{\pi^{k+1} \Omega(f_{2k+2}^*)^{-1}} \in \mathcal{O}_K.$$
(159)

Then by Proposition 2.10 and Theorem 2.4, we have

$$e_k^{-1}a_{|D|}(\theta_{B_{\sigma},D}^{k_0}(\mathbf{f}))(2k) = (\Omega(f_{2k+2}^*)^{-})^{-1}a_{|D|}\left(\theta_{k,\chi,D}^{Np}(f_{2k+2}^*)\right)$$
(160)

$$= 2\left(1-p^{-1}\right)|D|^{k+1/2}c_{\chi}^{2k+1}R_D(f_{2k+2})L^{\mathrm{alg}}\left(k+1,f_{2k+2}^*\otimes\chi_D\chi_0^{-1}\right).$$
(161)

Since  $2(1-p^{-1})|D|^{k_B+1/2}N^{2k_B+1} \in A(B_{\sigma})^{\times}$ , we can normalize  $a_{|D|}(\theta_{B_{\sigma},D}^{k_0}(\mathbf{f}))$  as

$$L_D(\mathbf{f}) := \left(2\left(1 - p^{-1}\right)|D|^{k_B + 1/2} c_{\chi}^{2k_B + 1}\right)^{-1} a_{|D|}(\theta_{B_{\sigma}, D}^{k_0}(\mathbf{f})) \in A(B_{\sigma})$$
(162)

so that for any  $2k \in W_{B,\sigma}$ , we have

$$e_k^{-1} L_D(\mathbf{f})(2k) = R_D(f_{2k+2}) L^{\text{alg}}\left(k+1, f_{2k+2}^* \otimes \chi_D \chi_0^{-1}\right).$$
(163)

**Corollary 5.9.** Let the notation and the assumptions be the same as Theorem 5.7. Then there exists a positive integer r such that for any integer k satisfying  $2k + 1 > \alpha$  and  $2k \equiv 2k_0 \pmod{(p-1)p^r}$ , we have the following non-negative equality:

$$\operatorname{ord}_{p}\left(R_{D}(f_{2k+2})L^{\operatorname{alg}}\left(k+1, f_{2k+2}^{*} \otimes \chi_{D}\chi_{0}^{-1}\right)\right) = \operatorname{ord}_{p}\left(R_{D}(f)L^{\operatorname{alg}}\left(k_{0}+1, f^{*} \otimes \chi_{D}\chi_{0}^{-1}\right)\right)$$
(164)

Moreover, if  $R_D(f)L(k_0+1, f \otimes \chi_D\chi_0^{-1}) \neq 0$ , then we have

$$\operatorname{ord}_{p}\left(L^{\operatorname{alg}}\left(k+1, f_{2k+2}^{*} \otimes \chi_{D}\chi_{0}^{-1}\right)\right) = \operatorname{ord}_{p}\left(L^{\operatorname{alg}}\left(k_{0}+1, f^{*} \otimes \chi_{D}\chi_{0}^{-1}\right)\right) \ge 0,$$

$$(165)$$

in particular,  $L(k+1, f_{2k+2} \otimes \chi_D \chi_0^{-1}) \neq 0.$ 

PROOF. By Theorem 5.7, there exists a positive integer  $m_0$  such that for any  $r > m_0 + 1$ , if an integer k satisfies  $2k + 1 > \alpha$  and  $2k \equiv 2k_0 \pmod{(p-1)p^r}$ , then

$$e_k R_D(f_{2k+2}) L^{\text{alg}}\left(k+1, f_{2k+2}^* \otimes \chi_D \chi_0^{-1}\right) \equiv R_D(f) L^{\text{alg}}\left(k_0+1, f^* \otimes \chi_D \chi_0^{-1}\right) \pmod{p^{r-m_0} \mathcal{O}_K}$$
(166)

for some  $e_k \in \mathcal{O}_K$ . Taking sufficiently large r, we have the first assertion. The last assertion follows from Remark 2.5.(1)

**Remark 5.10.** We keep the notation as in the corollary above. In general,  $R_D(f_{2k+2})$  may vanish. However, as seen in the proof above, if  $R_D(f)L(k_0 + 1, f \otimes \chi_D \chi_0^{-1}) \neq 0$ , then  $R_D(f_{2k+2}) \neq 0$  in a neighborhood of  $k_0$ . In other words, the the signatures of the eigenvalues of the initial primitive form f for the Atkin-Lehner involutions coincide with that of  $f_{2k+2}$  for k sufficiently close to  $k_0$ , p-adically (see Remark 2.5.(3)).

## 205 6. Application

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We apply Corollary 5.9 assuming that  $\chi = 1$ ,  $\alpha = 0$ , and N is square-free.

#### 6.1. Congruences between the central L-values attached to cusp forms of different weights

**Theorem 6.1.** Let  $f \in S_{2k+2}^{\text{new}}(N, 1)_0$  and  $g \in S_{2k'+2}^{\text{new}}(N, 1)_0$  be primitive forms with  $k, k' \ge 0$ , and  $\mathcal{O}$  the ring of integers of the p-adic completion of the field obtained by adjoining  $G(\chi_D)$  to the composite field  $\mathbb{Q}_{f^*}\mathbb{Q}_{g^*}$ . Assume that  $f^* \equiv g^* \pmod{p^{r_0}\mathcal{O}}$  for some positive integer  $r_0$  and that  $k \equiv k' \pmod{(p-1)p^r}$  for a sufficiently large integer r and that the Galois representation  $\rho_{f^*} : \operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \to \operatorname{GL}_2(\mathcal{O})$  attached to  $f^*$  is residually irreducible. Let D be a fundamental discriminant with  $(-1)^{k+1}D > 0$  and (D, Np) = 1. Then there exist  $e_{k'} \in \mathcal{O}^{\times}$  such that we have

$$R_D(f)L^{\mathrm{alg}}(k+1, f^* \otimes \chi_D) \equiv e_{k'}R_D(f_{2k'+2})L^{\mathrm{alg}}(k'+1, g^* \otimes \chi_D) \pmod{p^{r_0}\mathcal{O}}.$$
(167)

Moreover, if  $R_D(f)L(k+1, f \otimes \chi_D) \neq 0$ , then we have

$$L^{\mathrm{alg}}(k+1, f^* \otimes \chi_D) \equiv e_{k'} L^{\mathrm{alg}}(k'+1, g^* \otimes \chi_D) \pmod{p^{r_0} \mathcal{O}}.$$
(168)

**Remark 6.2.** When k = k' in the theorem above, we can take  $e_{k'} = 1$  by [30, Corollary 1.11]. Namely, the result in this case is contained in [30, Corollary 1.11].

PROOF. Since f is p-ordinary and  $\rho_{f^*}$  is residually irreducible, we may identify our periods defined by (98) with canonical periods in the sense of [30] by [30, Theorem 1.13] and [31, Lemma 3.8]. By Theorem 4.4 and Corollary 5.9, we have

$$f_{2k'+2}^* \equiv f^* \equiv g^* \pmod{p^{r_0}\mathcal{O}},\tag{169}$$

$$e_{k'}R_D(f_{2k'+2})L^{\mathrm{alg}}\left(k'+1, f_{2k'+2}^* \otimes \chi_D\right) \equiv R_D(f)L^{\mathrm{alg}}\left(k+1, f^* \otimes \chi_D\right) \pmod{p^{r_0}\mathcal{O}}$$
(170)

for some  $e_{k',D} \in \mathcal{O}^{\times}$ . By [30, Corollary 1.11], the congruence (169) between  $f_{2k'+2}^*$  and  $g^*$  implies

$$L^{\mathrm{alg}}\left(k'+1, f^*_{2k'+2} \otimes \chi_D\right) \equiv L^{\mathrm{alg}}\left(k'+1, g^* \otimes \chi_D\right) \pmod{p^{r_0}\mathcal{O}}.$$
(171)

#### 210 6.2. The Goldfeld conjecture

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We first recall Vatsal's result on the Goldfeld conjecture in [30, Section 3]. Let E be an elliptic curve over  $\mathbb{Q}$  with a rational potint of order 3. Assume that E has good ordinary reduction at 3 and that the conductor N of E is square-free. Let  $q \nmid N$  be any odd prime with  $q \equiv 1 \pmod{9}$ . Let  $N_1$  be the product of primes  $\ell | N$  at which E has nonsplit multiplicative reduction and  $N_2 := qN/N_1$ . We denote by  $f_E \in S_2^{\text{new}}(N, \mathbb{1})_0$  the 3-ordinary primitive form attached to E and  $f_E^q$  its q-stabilization.

**Theorem 6.3 ([30, Theorem 3.3]).** For any negative fundamental discriminant D with (D, Nq) = 1, we have the congruence

$$L^{\text{alg}}(1, f_E^q \otimes \chi_D) \equiv \frac{1}{2} \prod_{\ell \mid N_1: prime} (1 - \chi_D(\ell)/\ell) \prod_{\ell \mid N_2: prime} (1 - \chi_D(\ell)) \cdot L(0, \chi_D)^2 \pmod{3}.$$

Since the analytic class number formula shows that  $L(0, \chi_D)$  equals the class number h(D) of  $\mathbb{Q}(\sqrt{D})$ , up to a 3adic unit, the indivisibility of h(D) by 3 implies that we have  $L(1, f_E \otimes \chi_D) \neq 0$  for a fundamental discriminant D with  $(D, Np) = 1, \chi_D(\ell) = -1$  for each prime  $\ell \mid N_2$  and  $\chi_D(\ell)/\ell \equiv -1 \pmod{3}$  for each prime  $\ell \mid N_1$  by the theorem above (see [30, Corollary 3.4]). Then, Vatsal showed that  $M_{f_E}(X) \gg X$  (see [30, Corollary 3.5]) by using a theorem of Nakagawa and Horie [21] to estimate a proportion of fundamental discriminants D satisfying  $3 \nmid h(D)$  and the conditions which we mentioned above. By Corollary 5.9, we have the following:

form  $f_{2k+2} \in S_{2k+2}^{\text{new}}(N, 1; \mathbb{Q}_{f_E^*})_0$  such that for any embedding  $\sigma$  of  $\mathbb{Q}_{f_E^*}$  into  $\mathbb{C}$ , we have  $M_{f_{2k+2}^{\sigma}}(X) \gg X$ , where  $f_{2k+2}^*$  lies in a Coleman family passing through  $f_E^*$  and  $f_{2k+2}^{\sigma} \in S_{2k+2}^{\text{new}}(N, 1)$  is the primitive form defined by  $a_n(f_{2k+2}^{\sigma}) := a_n(f_{2k+2})^{\sigma}$ .

PROOF. Let D be a negative fundamental discriminant with (D, Np) = 1,  $\chi_D(\ell) = -1$  for each prime  $\ell \mid N_2$  and  $\chi_D(\ell)/\ell \equiv -1 \pmod{3}$  for each prime  $\ell \mid N_1$ . By assumption, we have  $\chi_D(\ell) = 1$  for each prime  $\ell \mid N_1$ . Recall that  $a_\ell(f_E) = -1$  if  $\ell \mid N_1$  and  $a_\ell(f_E) = 1$  if  $\ell \mid N_2$ . We thus have  $\chi_D(\ell) = -a_\ell(f_E) = w_\ell(f)$  for any prime  $\ell \mid N$ (see (27)), and hence  $R_D(f_E) \neq 0$ . Then there exists a primitive form  $f_{2k+2} \in S_{2k+2}^{\text{new}}(N, 1; \mathbb{Q}_{f_E^*})_0$  satisfying  $M_{f_{2k+2}}(X) \gg X$  by Corollary 5.9. For any isomorphism  $\sigma$  of  $\mathbb{Q}_{f_E^*}$  into  $\mathbb{C}$ , we see that  $f_{2k+2}^{\sigma} \in S_{2k+2}^{\text{new}}(N, 1)$  is a primitive form by [26, Proposition 1.2] and the theorem holds by [28, Theorem 1].

**Example 6.5.** Let *E* be the elliptic curve over  $\mathbb{Q}$  given by the equation  $y^2 + y = x^3 + x^2 - 9x - 15$ . Then *E* has a rational point of order 3 and good ordinary reduction at 3 and is of conductor 19 ([30, Example 3.7]).

Moreover, E has split multiplicative reduction at 19, and hence E satisfies the assumption of the theorem above. Furthermore, equations

$$y^2 + y = x^3 + x^2 + 9x + 1, (172)$$

$$y^{2} + y = x^{3} + x^{2} - 23x - 50, (173)$$

$$y^2 + y = x^3 + x^2 - x - 1, (174)$$

$$y^2 + y = x^3 + x^2 - 49x + 600, (175)$$

give elliptic curves over  $\mathbb{Q}$  of conductor 35, 37, 51, and 77, respectively. They have split multiplicative reduction 235 at any prime factor of their conductor and satisfy the assumption of the theorem above.

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